- 1 **Running Title:** Monogenic mutations and type 1 diabetes
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| 7 | Comprehensive screening for monogenic diabetes in 89 Japanese |
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| 8 | children with insulin-requiring antibody-negative type 1 diabetes |
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46 ABSTRACT

Background: Mutations in causative genes for neonatal diabetes or maturity-onset
diabetes of the young have been identified in multiple patients with autoantibodynegative type 1 diabetes (T1D).

50 **Objectives:** We aimed to clarify the prevalence and phenotypic characteristics of 51 monogenic abnormalities among 89 children with autoantibody-negative insulin-52 requiring T1D.

53 **Methods:** Mutations in 30 genes were screened using next-generation sequencing, and 54 copy-number alterations of four major causative genes were examined using multiplex-55 ligation dependent probe amplification. We compared the clinical characteristics 56 between mutation carriers and non-carriers.

57 **Results:** We identified 11 probable pathogenic substitutions (six in *INS*, two in *HNF1A*,

two in *HNF4A*, and one in *HNF1B*) in 11 cases, but no copy-number abnormalities.

59 Only two mutation carriers had affected parents. *De novo* occurrence was confirmed for

60 three mutations. The non-carrier group, but not the carrier group, was enriched with

61 susceptible HLA alleles. Mutation carriers exhibited comparable phenotypes to those of

62 non-carriers, except for a relatively normal body mass index (BMI) at diagnosis.

63 **Conclusions:** This study demonstrated significant genetic overlap between

64 autoantibody-negative T1D and monogenic diabetes. Mutations in INS and HNF genes,

but not those in *GCK* and other monogenic diabetes genes, likely play critical roles in

66 children with insulin-requiring T1D. This study also suggests the relatively high *de novo*

rates of *INS* and *HNF* mutations, and the etiological link between autoimmune

abnormalities and T1D in the non-carrier group. Carriers of monogenic mutations show

69 nonspecific phenotypes among all T1D cases, although they are more likely to have a

- 70 normal BMI at diagnosis than non-carriers.
- 71

72 **KEY WORDS:** *INS*, *HNF*, mutation, next-generation sequencing

74 INTRODUCTION

Diabetes mellitus is classified into type 1 (T1D), type 2, other specific types, and 7576 gestational diabetes (1, 2). T1D is subdivided into type 1A associated with autoantibodies against glutamic acid decarboxylase 65 (GADA), tyrosine phosphatase-77like insulinoma antigen 2 (IA2A), insulin (IAA), islet cells (ICA), or β -cell-specific zinc 7879 transporter 8 (ZnT8A), and type 1B that occurs independently of these autoantibodies (1, 2). The group of "other specific types of diabetes" includes neonatal diabetes and 80 maturity-onset diabetes of the young (MODY), both of which arise from monogenic 81 82 mutations. Previous studies have identified 24 genes, including INS, KCNJ11, and ABCC8, that jointly account for most cases of neonatal diabetes, and 13 genes, 83 84 including GCK, HNF1A, and HNF4A, whose mutations and deletions are present in a substantial fraction of MODY cases (3, 4, 5, 6, 7). Seven genes are involved in both 85 neonatal diabetes and MODY. 86

Patients with monogenic diabetes are usually distinguishable from those with 87 other types of diabetes, because neonatal diabetes is characterized by an early disease 88 onset within the first few months of life, and MODY represents autosomal dominant 89 diabetes with partially preserved insulin secretion (1, 3). Nevertheless, mutations in the 90 91 monogenic diabetes genes have been identified in multiple patients with childhoodonset autoantibody-negative T1D (8, 9, 10, 11, 12). We, the Japanese Study Group of 9293 Insulin Therapy for Childhood and Adolescent Diabetes (JSGIT), previously performed Sanger sequencing-based mutation analysis of INS and KCNJ11 on 34 patients with 94early onset (\leq 5 years of age) autoantibody-negative diabetes, and identified *INS* and 95 KCNJ11 mutations in five cases and one case, respectively (13). Similarly, Sanger 96 sequence analysis of INS, KCNJ11, HNF1A, and HNF4A on 32 Japanese patients 97 detected INS and HNF1A mutations in three cases (14). 98

Most recently, Johansson et al. performed the first comprehensive mutation
 screening of MODY genes on a large cohort of children with autoantibody-negative

101 diabetes. The authors studied 469 affected children by next-generation sequencing 102 (NGS) and identified probable damaging variants of GCK, INS, and HNF genes in 6.5% of the cases. These findings provided evidence for a significant association between 103 104 T1D and monogenic mutations. However, because the subjects of Johansson et al. 105included patients of various clinical severities, the frequency of monogenic mutations in patients with insulin-requiring T1D remains to be determined. Furthermore, NGS may 106107 miss pathogenic copy-number variations (CNVs), although deletions involving HNF1A, HNF1B, and GCK have been identified in a few patients with MODY (15). 108 Here, we conducted a NGS-based mutation screening of 30 monogenic 109 110 diabetes genes in 89 Japanese children with insulin-requiring autoantibody-negative 111 T1D. We also analyzed CNVs involving GCK, HNF1A, HNF4A, and HNF1B using multiplex-ligation dependent probe amplification (MLPA). The clinical characteristics 112 113of mutation carriers were compared to those of non-carriers. 114

115 METHODS

116 *Participants*

117 This study was approved by the Institutional Review Board Committee at the National Center for Child Health and Development and performed in accordance with the 118 119 Declaration of Helsinki. Written informed consent was obtained from the participants or 120 their parents. We enrolled 89 unrelated Japanese children clinically diagnosed with T1D (Tables 1, 2, and S1). All participants required persistent insulin therapy and satisfied 121 122the following criteria: (i) recruited by JSGIT between January 2008 and June 2013; (ii) 123diagnosed with T1D based on the criteria of the World Health Organization published in 1241998 (16); (iii) diagnosed between the age of 0.5 and 16.0 years; (iv) had detailed 125medical records including data of height and weight at diagnosis; and (v) showed negative results for all diabetes-associated autoantibodies examined. In all cases, GADA 126 127had been tested at diagnosis, and other autoantibodies were also examined in several 128cases (Tables 2 and S1). The participants included 47 children who were previously

subjected to Sanger sequencing-based mutation analysis (13, 14).

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131 Molecular analyses

132 Genomic DNA was extracted from peripheral blood samples of the participants. To

133 detect nucleotide substitutions, we designed an NGS panel (HaloplexHS; Agilent

134 Technologies, Santa Clara, USA) targeting the coding- and non-coding exons and their

135 flanking regions of 30 genes known to cause monogenic diabetes (ABCC8, BLK,

136 CDKN1C, CEL, EIF2AK3, FOXP3, GATA4, GATA6, GCK, GLIS3, HNF1A, HNF4A,

137 HNF1B, IER3IP1, INS, KCNJ11, KLF11, MNX1, NEUROD1, NEUROG3, NKX2-2,

138 PAX4, PDX1, PTF1A, RFX6, SIRT1, SLC2A2, SLC19A2, SLC29A3, and ZFP57). The

total amplicon number was 11,033 and the target size was 205.8 kb with a theoretical

140 coverage of 98.5% for the targeted regions. According to the manufacture's protocol,

141 individually indexed HaloplexHS libraries were prepared, and sequenced on a HiSeq

(Illumina, San Diego, USA). Base calling, read filtering, and demultiplexing were
performed with the standard Illumina processing pipeline. We used BWA 0.7.5 to map
reads against the human reference genome (build: hg19) with the default settings. Local
realignment, quality score recalibration, and variant calling were performed with
GATK3.6 using the default setting. We used ANNOVAR for annotation of the called
variants.

- 148 All non-synonymous substitutions and nucleotide changes at a splice site were
- 149 evaluated by database search [the Exome Aggregation Consortium Browser (ExAC,

150 http://exac.broadinstitute.org); the 1000 Genomes Database

151 (http://www.ncbi.nlm.nih.gov); the Human Genetic Variation Database (HGVD,

152 http://www.hgvd.genome.med.kyoto-u.ac.jp) and the Human Gene Mutation Database

153 (HGMD, http://www.hgmd.cf.ac.uk)]. The functional consequences of missense

154 substitutions were predicted by Sorting Intolerant From Tolerant (SIFT,

155 http://provean.jcvi.org/genome_submit_2.php); PolyPhen-2

156 (http://genetics.bwh.harvard.edu/pph2/); Mutation Taster (http://mutationtaster.org/); the

157 Combined Annotation Dependent Depletion (CADD, http://cadd.gs.washington.edu);

and the Mendelian Clinically Applicable Pathogenicity (M-CAP,

159 http://bejerano.stanford.edu/mcap/index.html)]. Substitutions previously identified in

160 patients with diabetes were classified as pathogenic. Nucleotide changes whose

161 frequency in the general population was ≥ 0.001 and were predicted as benign by more

162 than three of the five *in silico* analysis were excluded as probable benign variants. All

163 variants of interest were confirmed by PCR-based Sanger sequencing. When possible,

- 164 we analyzed parental DNA samples of mutation-positive participants.
- 165 To detect CNVs involving GCK, HNF1A, HNF4A, or HNF1B, we performed
- 166 MLPA analyses using the SALSA MLPA MODY mix-1 probemix (catalog number,

167 P241; MRC-Holland, Amsterdam, The Netherlands).

169 HLA alleles and clinical characteristics of mutation carriers

170 We genotyped HLA-DRB1 using the Luminex Multi-Analyte Profiling system with the 171 WAKFlow HLAtyping Kit (Wakunaga, Hiroshima, Japan). We determined known diabetes susceptible alleles (*09:01, *04:05, *08:02) and protective alleles (*15:02, 172*15:01, *08:03, *04:06) in the Japanese population (17). The difference in the 173174frequencies of susceptible and protective alleles among mutation carriers and noncarriers were analyzed. We also compared the frequencies of susceptible and protective 175alleles between mutation carriers and the Japanese general population [the Database of 176 177the HLA laboratory (http://hla.or.jp/)]. In this analysis, the brother of patient 5 who had 178diabetes and the same mutation as the proband was included in the group of mutation 179carriers.

180 In addition, we compared phenotypic characteristics between mutation carriers and non-carriers, and between INS mutation carriers and carriers of other mutations. The 181 body mass index (BMI, weight / height²) SD was calculated based on the data of the 182Japanese population (18). We examined fasting blood C-peptide values at diagnosis. 183 Cases with C-peptide values less than 0.6 ng/mL were considered as having endogenous 184 insulin deficiency (19). Diabetic ketoacidosis (DKA) was diagnosed according to the 185Clinical Practice Consensus Guidelines of the International Society for Pediatric and 186 Adolescent Diabetes (ISPAD) (20). In addition, we examined whether mutation carriers 187 188 had extra-pancreatic complications.

The statistical significance in the differences between two participant groups was analyzed using the Fisher's exact test for categorical variables, and the Mann– Whitney U test for continuous variables. A-two tailed *p*-value with an alpha level for significance was determined as ≤ 0.05 . All statistical analyses were performed using the EZR system (version 1.32, http://www.jichi.ac.jp/saitamasct/SaitamaHP.files/statmedOSX.html), a graphical user interface for R (21).

195

196 **RESULTS**

197 Molecular analysis

- 198 Eleven probably pathogenic sequence variants were identified in 11 patients (patients 1–
- 199 11; Tables 2 and S2 and Figure 1). All variants were present in heterozygous states. The
- variants consisted of six missense substitutions in *INS* (p.C31Y, p.V42A, p.G75C,
- 201 p.R89C, p.C96F, and p.C96R), two in *HNF1A* (p.R131Q and p.R203S), two in *HNF4A*
- 202 (p.Q142H and p.E256A), and one in HNF1B (p.L168P). Of these, p.G75C and p.C96F
- in INS, p.Q142H and p.E256A in HNF4A, and p.L168P in HNF1B were hitherto
- 204 unreported, while the remaining six substitutions have previously been identified in
- 205 patients with diabetes (13, 22, 23, 24, 25). Notably, mutation p.G75C is located in the
- 206 C-peptide sequence. Nine of 11 variants were not found in the public databases, whereas

207 p.R131Q in *HNF1A* and p.E256A in *HNF4A* have been submitted to the ExAC Browser

- as an extremely rare nucleotide change (allele frequency, 1/121,198 and 1/121,396).
- 209 Three substitutions in *INS* (p.C31Y, p.C96F, and p.C96R) affect cysteine residues
- 210 involved in disulfide bond formation, and p.V42A disrupts an amino acid next to the
- cysteine residue at the 41st codon (26). In addition, p.R89C is known to cause
- 212 proinsulin misfolding (26), while the effect of p.G75C remains to be clarified. The
- variants in *HNF1A*, *HNF4A*, and *HNF1B* are invariably located within functionally
- important domains (27, 28, 29, 30) (Figure 1). Furthermore, p.Q142H in HNF4A affects
- the last nucleotide of exon 4. Parental analysis revealed that three *INS* mutations
- 216 (p.V42A, p.G75C, and p.R89C) were *de novo*, while p.C31Y of patient 1 and p.C96F of
- 217 patient 5 were inherited from their parent with diabetes. Parental samples of other
- 218 patients were unavailable for genetic analysis.
- 219

MLPA detected no copy-number alterations of exons of GCK, HNF1A,

- 220 HNF4A, or HNF1B.
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- 222 HLA alleles and clinical characteristics of mutation carriers

We compared the HLA-DRB1 allele frequencies and clinical information between 223224mutation carriers (n = 12) and non-carriers (n = 78) (Table 3). Susceptible HLA-DRB1 225alleles were less frequent in the carrier group than the non-carrier group (4/24 vs.)96/156, p = 0.00004), while protective HLA-DRB1 alleles were more frequent in the 226carrier group (6/24 vs. 8/156, p = 0.004). The frequency of susceptible HLA-DRB1 227 228alleles in the carrier group was slightly lower than that in the Japanese general population (10,235/31,973, p = 0.01), and the frequency of protective HLA-DRB1 229alleles were comparable between these two groups (9585/31,973 in the general 230231population, p = 0.43). 232Clinical features were almost comparable between the two groups, except for

the median BMI SD scores at diagnosis, which were normal in mutation carriers and slightly reduced in non-carriers (mean SDS, 0.0 vs. -0.8, p = 0.02). In particular, endogenous insulin deficiency indicated by low C-peptide levels were observed in both carriers and non-carriers (1/5 vs. 25/51, p = 0.36). Patient 11 with p.L168P in *HNF1B* had end-stage renal failure and was treated with peritoneal dialysis, while the remaining 11 carriers had no apparent extra-pancreatic complications.

We then compared the clinical characteristics between patients with *INS* mutations (n = 7) and those with *HNF* mutations (n = 5) (Table 4). Age at diagnosis was significantly lower in *INS* mutation carriers than that of *HNF* mutation carriers (2.3 vs. 10.2 years, p = 0.01). DKA was observed in two *INS* mutation carriers, but was not described in the *HNF* mutation carriers. Other examined parameters were comparable between the two groups.

246 **DISCUSSION**

NGS-based mutation screening identified probable pathogenic mutations in 11 of 89 247patients with autoantibody-negative T1D. Identified mutations consisted of six 248substitutions in INS, two in HNF1A, two in HNF4A, and one in HNF1B. These results 249provide further evidence that monogenic mutations account for a small fraction of 250251children with autoantibody-negative T1D. Since MLPA excluded copy-number variations of GCK, HNF1A, HNF4A, and HNF1B in our patients, chromosomal 252253deletions involving these genes appear to be uncommon in this condition. Notably, the 254results of this study have both similarities and differences to those of the previous mutation screening by Johansson et al (8). Both studies demonstrated significant roles 255256of *INS* and *HNF* genes, together with negligible roles of most other monogenic diabetes genes, in the development of autoantibody-negative T1D. However, the frequency of 257pathogenic INS mutations was much higher in our cohort than that in the cohort of 258Johansson et al. (6/89 vs. 1/469). In contrast, none of our participants carried GCK 259260mutations which accounted for 6 of 469 patients studied by Johansson et al. Heterozygous GCK mutations are known to represent one of the major causes of 261262MODY (3, 31, 32). These discrepancies between previous studies and ours likely reflect 263the differences in the clinical severities of the participants. It is known that INS mutations represent the second common cause of permanent neonatal diabetes (3, 22) 264265and often result in insulin-requiring diabetes in early childhood, while heterozygous GCK mutations typically lead to relatively mild diabetes that does not require insulin 266 therapy. Since our study group consisted solely of children with insulin-requiring 267268diabetes, this selection criterion likely contributed to the accumulation of *INS* mutations 269and the lack of GCK mutations.

Of the 11 mutation carriers, only two had affected parents. These data imply that *INS* and *HNF* mutations can be associated with *de novo* occurrence or incomplete penetrance. We confirmed that at least three of the 11 mutations, i.e., p.V42A, p.G75C

and p.R89C in INS, were de novo. Although a de novo substitution can be a functionally 273274benign variant (33, 34), pathogenicity of these three INS mutations was supported by multiple *in silico* programs. In addition, mutations p.V42A and p.R89C have already 275been reported as causing diabetes in childhood (22, 25, 35). Recently, Stanik et al. 276revealed that de novo mutations in GCK, HNF1A, and HNF4A are more frequent in 277278MODY cases than previously assumed (36). Our data, in conjunction with those of Stanik et al., suggest that the *de novo* occurrence of *INS* or *HNF* mutations is not rare. 279Since previous sequence analyses of *INS* and *HNF* have focused primarily on patients 280281with a positive family history (15, 37), further studies are necessary to clarify the actual 282frequency of these mutations among patients with diabetes.

283The frequencies of susceptible and protective HLA-DRB1 alleles were 284significantly different between the carrier and non-carrier groups. The frequencies of 285these alleles in the carrier group were close to those seen in the Japanese general 286 population, whereas the non-carrier group was characterized by relatively high and low 287frequencies of susceptibility and protective alleles, respectively. These results imply that a substantial fraction of the non-carrier group can be ascribed to autoimmune 288abnormalities, although we cannot exclude the possibility that some other monogenic 289diabetes genes remain unidentified. This is consistent with the prior findings by Hameed 290 et al. that a substantial percentage of patients who had no autoantibodies at diagnosis 291292were found to be antibody-positive at retesting. Since previous studies have revealed the 293ethnic specificity of protective and high risk HLA alleles for T1D (1, 2, 17), the 294contribution of autoimmune abnormality to T1D in the non-carrier group may differ 295among ethnic groups. Hameed et al. suggested that repeated measurement of blood Cpeptide values provides useful information about the clinical course of T1D; relatively 296297preserved C-peptide levels during the follow-up period are often seen in persistent 298antibody negative cases. Thus, C-peptide values of our patients need to be carefully monitored, although the values at diagnosis were highly variable and did not reflect the 299

300 presence or absence of monogenic mutations.

Clinical examinations revealed three notable findings. First, the clinical 301 302 features of mutation carriers were comparable to those of non-carriers, except for the median BMI SD scores at diagnosis that were low in the non-carrier group and normal 303 304 in the carrier group. The normally preserved BMI at diagnosis in the carrier group may 305reflect the slow progression of the disease, because mutations in HNF1A, HNF4A, and HNF1B are known to cause a gradual impairment of insulin secretion (38, 39). Second, 306 307 patient 11 with a HNF1B mutation manifested renal failure. This is consistent with 308 previous observations that renal cysts and renal dysplasia are common features of 309 patients with HNF1B mutations (3). The presence of extra-pancreatic lesions appears to 310 be a good marker of monogenic diabetes among patients with autoantibody-negative diabetes. Lastly, compared to carriers of HNF mutations, INS mutation carriers tended 311 312to have early disease onset and more frequently experienced DKA. These data are 313 consistent with previous observations that *INS* mutations are associated with a both 314severe neonatal insulin deficiency and MODY, while HNF mutations typically lead to late-onset slowly progressive diabetes (33, 38, 39, 40). However, given the small 315number of participants in this study, further studies are necessary to clarify the 316 317 frequency and phenotypic characteristics of each monogenic abnormality among autoantibody-negative T1D cases. 318

319 In conclusion, this study provides further evidence for the significant genetic overlap between autoantibody-negative T1D and monogenic diabetes. Mutations in INS 320 and *HNF* genes, but not those in *GCK* and other monogenic diabetes genes, likely play 321critical roles in childhood-onset insulin-requiring T1D. This study also suggests the 322 relatively high de novo rates of INS and HNF mutations, as well as the etiological link 323324between autoimmune abnormalities and T1D in the non-carrier group. Carriers of monogenic mutations show nonspecific phenotypes among all T1D cases, although they 325are more likely to have a normal BMI at diagnosis than non-carriers. 326

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| | | |

| Total number | 89 |
|--|-------------------|
| Male : Female | 34 : 55 |
| Age at diagnosis (yr) | 8.2 (3.6–11.0) |
| Diabetes duration (yr) | 3.3 (1.7–7.2) |
| Parental history of diabetes | 8 / 75 |
| BMI SD score at diagnosis | -1.0 ± 1.2 |
| HbA1c at diagnosis (NGSP, %) | 11.3 (9.5–13.4) |
| HbA1c at diagnosis (IFCC, mmol/mol) | 99.6 (80.3–122.9) |
| C-peptide negative at diagnosis [#] | 26 / 56 |
| DKA at diagnosis* | 24 / 69 |
| Birth weight (g) | $3,056 \pm 404.0$ |
| Gestational age (weeks) | 39.3 ± 1.5 |
| Susceptible HLA-DRB1 alleles ⁺ | 100 / 178 |
| Protective HLA-DRB1 alleles ⁺ | 13 / 178 |

452 BMI, Body Mass Index; DKA, diabetic ketoacidosis; IFCC, International Federation of

453 Clinical Chemistry; NGSP, National Glycohemoglobin Standardization Program. Data

454 are represented as median (interquartile range) or mean \pm SD.

⁴⁵⁵ [#]Patients with a fasting C-peptide <0.6 ng/ml were assessed as C-peptide negative (19)

456 * DKA was diagnosed according to the International Society for Pediatric and

457 Adolescent Diabetes (ISPAD) Clinical Practice Consensus Guidelines 2014 (20).

458 + Susceptible and protective HLA-DRB1 alleles are defined based on the report of

459 Sugihara et al (17).

| ORB1 es [‡] |
|-------------------------|
| es [‡] |
| |
| |
| |
| *11:01 |
| |
| *09:01 |
| |
| *13:02 |
| |
| ·15:02 |
| |
| ·15:01 |
| .1 = 01 |
| 15:01 |
| |
| k15.01 |
| 15:01 |
| k15.01 |
| 15:01 |
| *1/.03 |
| 14.05 |
| *14.54 |
| 14.54 |
| k01.01 |
| 01.01 |
| *13·02 |
| 10.02 |
| |

461 **Table 2.** Clinical and molecular findings of mutation carriers.

462 BMI, Body Mass Index; DKA, diabetic ketoacidosis; F, female; GADA, glutamic acid

463 decarboxylase 65 antibody; IA2A tyrosine phosphatase-like insulinoma antigen 2

antibody; IAA, insulin autoantibody; M, male; NGSP, National Glycohemoglobin

465 Standardization Program.

466 * The number of parents with history of diabetes.

467 *†* DKA was diagnosed according to the International Society for Pediatric and

468 Adolescent Diabetes (ISPAD) Clinical Practice Consensus Guidelines 2014 (20).

469 ‡ Susceptible HLA-DRB1 alleles are underlined, and protective alleles are boldfaced.

470 These alleles were defined based on the report of Sugihara et al (17).

471 # Variants are described according to the HGMD reference sequences: *HNF1A*

472 NM_000545.6; *HNF4A* NM_175914.4; *HNF1B* NM_000458.3; *INS* NM_001185098.1.

| | Mutation | Iutation Non-carriers | |
|--|------------------|--------------------------|---------|
| | carriers | | |
| | (<i>n</i> = 12) | (n = 78) | |
| Male : Female | 6:6 | 29:49 | 0.53 |
| Age at diagnosis (yr) | 6.5 (2.1–9.5) | 8.3 (3.8–11.5) | 0.12 |
| Diabetes duration (yr) | 2.9 (1.4–3.1) | 3.7 (1.8-8.1) | 0.14 |
| Parental history of diabetes | 3 / 12 | 6 / 64 | 0.15 |
| BMI SD score at diagnosis | 0 ± 0.7 | -0.8 ± 1.2 | 0.02 |
| HbA1c at diagnosis (NGSP, %) | 9.6 (9.4–10.4) | 11.7 (9.6–13.4) | 0.08 |
| C-peptide negative at diagnosis [#] | 1 / 5 | 25 / 51 | 0.36 |
| DKA at diagnosis [*] | 2 / 7 | 22 / 62 | 1.00 |
| Birth weight (g) | $3,027\pm404.5$ | $3,\!058\pm409.9$ | 0.82 |
| Gestational age (weeks) | 38.8 ± 1.6 | 39.3 ± 1.5 | 0.33 |
| Susceptible HLA-DRB1 alleles † | 4 / 24 | 96 / 156 | 0.00004 |
| Protective HLA-DRB1 alleles [†] | 6 / 24 | 8 / 156 | 0.004 |

474 **Table 3.** Comparison between mutation carriers and non-carriers.

BMI, Body Mass Index; DKA, diabetic ketoacidosis; NGSP, National Glycohemoglobin
Standardization Program. Data are represented as median (interquartile range) or mean
± SD.

⁴⁷⁸ [#]Patients with a fasting C-peptide <0.6 ng/ml were assessed as C-peptide negative (19).

^{*} DKA was diagnosed according to the International Society for Pediatric and

480 Adolescent Diabetes (ISPAD) Clinical Practice Consensus Guidelines 2014 (20).

⁺ Susceptible and protective HLA-DRB1 alleles are defined based on the report of
Sugihara et al (17).

| | <i>INS</i> mutation carriers | HNF mutation carriers | <i>p</i> -value |
|-------------------------------|------------------------------|-----------------------|-----------------|
| | (<i>n</i> = 7) | (n = 5) | |
| Male : Female | 5:2 | 1:4 | 0.24 |
| Age at diagnosis (yr) | 2.3 (1.5-4.0) | 10.2 (9.2–10.9) | 0.01 |
| Diabetes duration (yr) | 3.0 (1.5–5.2) | 2.9 (0.7–2.9) | 0.34 |
| Parental history of diabetes | 3 / 7 | 0 / 5 | 0.21 |
| DKA at diagnosis [*] | 2 / 5 | 0 / 2 | 1.00 |
| BMI SD score at diagnosis | -0.2 ± 0.6 | 0.32 ± 0.8 | 0.20 |
| HbA1c at diagnosis | | | 1.00 |
| (NGSP, %) | 9.7 (8.7–11.3) | 9.5 (9.5–10.3) | 1.00 |
| Birth weight (g) | $3,045 \pm 361.0$ | $2,995 \pm 531.7$ | 0.86 |

Table 4. Comparison between *INS* mutation carriers and carriers of *HNF1A*, *HNF4A*,

| 485 | and <i>HNF1B</i> mutations. |
|-----|-----------------------------|
|-----|-----------------------------|

486 BMI, Body Mass Index; DKA, diabetic ketoacidosis; NGSP, National Glycohemoglobin

487 Standardization Program. Data are represented as median (interquartile range) or mean
488 ± SD.

^{*} DKA was diagnosed according to the International Society for Pediatric and

490 Adolescent Diabetes (ISPAD) Clinical Practice Consensus Guidelines 2014 (20).

492 **FIGURE LEGENDS**

- 493 **Figure 1.** Monogenic mutations identified in the present study. Chromatographs of
- 494 patients 1–11 are shown. Arrows indicate mutated nucleotides. The S symbols depict
- 495 disulfide bonds. DNA binding domains of *HNF1A* and *HNF1B* consist of the POU
- 496 specific domain (POUs) and the POU homeodomain (POU_H).



| | Background | | | Background Clinical findings at diagnosis | | | | | | |
|---------|------------|----------------------------|--------------|---|--------------------|------------------|------------------------|--------------------------|---------------|--------------------------|
| Patient | Gender | Affected parent* (n) | Age (yr.) | BMI Z (SDS) | HbA1c (NGSP, %) | DKA [†] | Tested autoantibody | C- peptide (ng/mL) | HLA-: alle | DRB1 les [‡] |
| 12 | F | 0 | 3.2 | 0.2 | 8.0 | no data | GADA | no data | *04:05 | *09:01 |
| | | | | | | | GADA, | | | |
| 13 | F | 0 | 11.0 | -2.3 | 14.8 | yes | IA2A, IAA | 0.1 | *09:01 | *09:01 |
| | | | | | | | GADA, | | | |
| 14 | F | 0 | 13.3 | -1.6 | 9.8 | yes | IA2A | 0.5 | *04:04 | *04:05 |
| | | | | | | | GADA, | | | |
| 15 | F | 0 | 6.4 | -1.5 | 15.7 | no | IA2A | 0.4 | *09:01 | *13:02 |
| 16 | F | 0 | 6.4 | -1.8 | 13.7 | yes | GADA | no data | *04:05 | *08:03 |
| 17 | Μ | no data | 13.0 | -1.5 | 12.0 | no data | GADA | 0.4 | *01:01 | *04:05 |
| 18 | F | no data | 12.5 | -0.8 | 10.2 | no | GADA | 1.5 | *04:05 | *13:02 |
| | | | | | | | GADA, | | | |
| 19 | F | 0 | 13.6 | 0.3 | 15.3 | yes | IA2A, IAA | 0.6 | *04:05 | *13:02 |
| | | | | | | | GADA, | | | |
| 20 | Μ | 0 | 15.1 | -1.1 | 6.5 | no | IA2A, IAA | 0.3 | *04:05 | *04:05 |
| 21 | Μ | no data | 5.6 | -1.4 | 15.3 | no | GADA, IAA | < 0.3 | *04:05 | *04:05 |
| 22 | Μ | no data | 5.6 | -1.1 | 8.9 | no | GADA | 0.8 | *04:05 | *08:02 |
| | | | | | | | GADA, | | | |
| 23 | F | 0 | 10.1 | 1.4 | 9.8 | no data | IA2A, IAA | no data | *09:01 | *13:02 |
| | | | | | | | GADA, | | | |
| 24 | Μ | 0 | 9.7 | -1.3 | 11.3 | no | IA2A, IAA | 0.9 | *04:05 | *04:07 |
| | | | | | | | GADA, | | | |
| 25 | Μ | 0 | 11.0 | -1.2 | 11.3 | no | IA2A, IAA | 0.3 | *04:05 | <u>*04:05</u> |
| | | | | | | | GADA, | | | |
| 26 | F | 0 | 13.0 | -1.2 | 10.2 | no | IA2A, IAA | 1.1 | *04:05 | <u>*09:01</u> |
| 27 | М | 0 | 13.6 | -1.5 | 12.1 | no | GADA, IAA | 1.5 | <u>*04:05</u> | *13:02 |
| | | | | | | | GADA, | | | |
| 28 | Μ | 0 | 8.1 | -0.9 | 6.3 | yes | IA2A, IAA | 0.1 | *04:05 | *16:02 |
| | | | | | | | GADA, | | | |
| 29 | Μ | 0 | 7.6 | -1.0 | 11.1 | no | IA2A | no data | *01:01 | *04:05 |
| | | | | | | | GADA, | | | |
| 30 | М | 1 | 4.1 | -0.3 | 8.6 | no data | IA2A, IAA | no data | *04:05 | *04:07 |

| Table S1. | Clinical findings of r | nutation non-carriers. |
|-----------|------------------------|------------------------|

| 31 | F | 0 | 2.5 | -2.7 | 13.4 | no data | GADA, IAA | no data | *09:01 | *09:01 |
|----|---|---------|------|------|------|---------|-----------|---------|---------------|---------------|
| 32 | F | 0 | 12.2 | -2.1 | 15.6 | no | GADA, IAA | no data | *01:01 | *04:05 |
| 33 | М | 0 | 3.6 | 0.9 | 7.6 | no | GADA | no data | *01:01 | *09:01 |
| | | | | | | | GADA, | | | |
| 34 | F | 0 | 2.3 | -1.7 | 10.0 | yes | IA2A | no data | *09:01 | *09:01 |
| | | | | | | | GADA, | | | |
| 35 | М | 1 | 10.7 | 1.1 | 11.7 | no | IA2A | no data | *09:01 | *13:02 |
| 36 | F | 0 | 6.1 | -2.4 | 9.5 | no | GADA, IAA | no data | *01:01 | *04:05 |
| | | | | | | | GADA, | | | |
| 37 | F | 0 | 4.9 | -0.2 | 14.5 | yes | IA2A | 0.5 | *09:01 | *12:01 |
| 38 | М | 0 | 12.1 | -1.3 | 5.6 | yes | GADA | no data | *01:01 | *09:01 |
| 39 | F | 1 | 8.6 | 2.5 | 12.9 | no data | GADA, IAA | < 0.3 | *04:03 | *15:02 |
| 40 | F | 0 | 14.4 | -0.9 | 5.6 | yes | GADA | 0.1 | *04:05 | *08:02 |
| | | | | | | | GADA, | | | |
| 41 | F | no data | 2.9 | -3.1 | 12.8 | yes | IA2A | no data | *04:05 | *08:02 |
| 42 | F | 0 | 2.4 | -1.6 | 14.7 | no | GADA | < 0.3 | *04:05 | *13:02 |
| 43 | F | 0 | 4.3 | 0.5 | 13.2 | yes | GADA, IAA | 0.1 | *04:05 | *13:02 |
| 44 | F | no data | 11.5 | -1.0 | 13.0 | yes | GADA | no data | *04:05 | *04:07 |
| 45 | М | 0 | 3.4 | -3.1 | 12.3 | no | GADA, IAA | 0.1 | *04:05 | *08:02 |
| 46 | F | no data | 10.3 | -2.1 | 13.3 | yes | GADA | 0.4 | *09:01 | *13:02 |
| 47 | М | 0 | 2.6 | 0.8 | 9.6 | no | GADA | 0.4 | *01:01 | *04:05 |
| | | | | | | | GADA, | | | |
| 48 | F | 0 | 10.5 | -0.9 | 10.4 | no | IA2A | 0.7 | *04:10 | *09:01 |
| 49 | М | 0 | 2.6 | -0.3 | 12.3 | no | GADA | 0.1 | *04:05 | *13:02 |
| | | | | | | | GADA, | | | |
| 50 | F | 0 | 5.3 | 0.6 | 6.2 | no data | IA2A | 0.7 | *04:05 | *11:01 |
| | | | | | | | GADA, | | | |
| 51 | F | 0 | 9.0 | -2.1 | 15.0 | yes | IA2A, IAA | 0.1 | *04:05 | *13:02 |
| | | | | | | | GADA, | | | |
| 52 | F | 0 | 9.0 | -2.1 | 11.2 | no | IA2A, IAA | 0.6 | <u>*09:01</u> | *14:06 |
| 53 | F | 0 | 5.3 | -0.6 | 8.7 | no data | GADA | no data | <u>*09:01</u> | *13:02 |
| 54 | М | 0 | 2.6 | -2.2 | 11.0 | no data | GADA, ICA | no data | *04:05 | <u>*09:01</u> |
| | | | | | | | GADA, | | | |
| 55 | М | 0 | 2.7 | -0.4 | 13.4 | yes | IA2A | no data | *09:01 | *11:05 |
| 56 | F | 0 | 3.8 | -2.3 | 13.2 | no | GADA | 0.5 | *04:05 | <u>*09:01</u> |
| 57 | М | 0 | 1.1 | 0.6 | 11.5 | no data | GADA | 0.7 | *04:05 | *09:01 |
| | | | | | | | | | | |

| | | | | | | | GADA, | | | |
|----|---|---------|------|------|------|---------|-----------|---------|---------------|---------------|
| 58 | F | 0 | 1.5 | 0.0 | 9.8 | yes | IA2A, ICA | no data | *09:01 | *13:02 |
| 59 | F | 0 | 4.9 | 0.0 | 11.9 | no | GADA | 0.5 | *04:05 | *12:01 |
| | | | | | | | GADA, | | | |
| 60 | М | 0 | 10.7 | -0.7 | 8.3 | no | IA2A | 1.1 | <u>*09:01</u> | *09:01 |
| 61 | М | 0 | 11.8 | -0.1 | 11.9 | no | GADA | 0.8 | *01:01 | *04:05 |
| | | | | | | | GADA, | | | |
| 62 | F | 0 | 13.1 | -0.7 | 12.4 | no data | IA2A, ICA | 0.9 | *09:01 | *15:01 |
| | | | | | | | GADA, | | | |
| 63 | М | 0 | 3.5 | -0.4 | 11.3 | no | IA2A, ICA | 0.3 | *04:05 | *04:07 |
| 64 | М | 0 | 3.8 | -0.9 | 14.5 | no | GADA | 0.6 | <u>*09:01</u> | *13:02 |
| | | | | | | | GADA, | | | |
| 65 | М | 0 | 14.7 | -2.3 | 15.6 | no data | IA2A | 1.3 | *08:02 | *12:01 |
| | | | | | | | GADA, | | | |
| 66 | F | 0 | 10.1 | 0.0 | 13.6 | no | IA2A | 1.2 | *01:01 | *09:01 |
| | | | | | | | GADA, | | | |
| 67 | F | 0 | 7.5 | -1.4 | 10.4 | no | IA2A | 0.8 | *01:01 | *04:05 |
| 68 | F | 1 | 1.7 | -0.9 | 9.3 | no data | GADA | 0.1 | <u>*04:05</u> | *08:02 |
| 69 | F | 1 | 3.6 | 0.1 | 11.7 | yes | GADA | 0.9 | <u>*09:01</u> | *09:01 |
| | | | | | | | GADA, | | | |
| 70 | F | 0 | 14.2 | -1.4 | 9.6 | yes | IA2A | 1.0 | <u>*04:05</u> | *11:01 |
| 71 | F | 0 | 3.4 | -1.5 | 9.1 | no | GADA | no data | <u>*09:01</u> | *13:02 |
| | | | | | | | GADA, | | | |
| 72 | М | 0 | 0.9 | 0.0 | 6.8 | no | IA2A | no data | *01:01 | *04:05 |
| | | | | | | | GADA, | | | |
| 73 | F | 0 | 5.2 | -2.8 | 16.6 | yes | IA2A | no data | <u>*04:05</u> | <u>*09:01</u> |
| 74 | F | 0 | 8.6 | 0.4 | 13.6 | no | GADA | 0.8 | <u>*04:05</u> | *13:02 |
| 75 | F | 0 | 8.4 | 0.9 | 5.8 | no | GADA | 2.2 | *03:01 | <u>*04:05</u> |
| 76 | F | 0 | 13.3 | 1.2 | 13.4 | yes | GADA, ICA | no data | *11:01 | *15:01 |
| | | | | | | | GADA, | | | |
| 77 | F | no data | 15.1 | -0.3 | 9.9 | no | IA2A | 1.2 | *14:06 | *15:02 |
| 78 | F | 1 | 9.0 | -0.7 | 14.8 | no data | GADA | no data | <u>*09:01</u> | *13:02 |
| 79 | М | no data | 10.9 | -0.9 | 7.1 | no data | GADA | no data | *13:02 | *13:02 |
| 80 | М | no data | 13.3 | 1.2 | 11.2 | no data | GADA | no data | <u>*09:01</u> | *13:02 |
| | | | | | | | GADA, | | | |
| 81 | М | 0 | 8.2 | -1.1 | 15.7 | yes | IA2A | 0.2 | *04:05 | *08:02 |

| | | | | | | | GADA, | | | |
|----|---|---------|------|------|------|-----|-----------|---------|--------|--------|
| 82 | F | 0 | 11.5 | -1.8 | 6.6 | no | IA2A, ICA | 3.6 | *04:05 | *09:01 |
| 83 | F | no data | 5.5 | -2.6 | 17.0 | yes | GADA | 0.2 | *04:05 | *08:02 |
| 84 | F | 0 | 14.0 | 1.2 | 14.5 | no | GADA | 1.0 | *04:05 | *13:02 |
| 85 | F | no data | 11.5 | -1.2 | 14.9 | no | GADA, ICA | 1.2 | *08:03 | *08:03 |
| | | | | | | | GADA, | | | |
| 86 | М | no data | 10.6 | -1.6 | 6.9 | no | IA2A | 0.7 | *04:05 | *09:01 |
| 87 | F | no data | 2.4 | -0.1 | 13.0 | no | GADA | no data | *09:01 | *13:02 |
| | | | | | | | GADA, | | | |
| 88 | F | 0 | 10.3 | 0.9 | 12.0 | no | IA2A | no data | *09:01 | *15:02 |
| | | | | | | | GADA, | | | |
| 89 | F | 0 | 4.3 | -1.7 | 12.4 | no | IA2A, IAA | 0.3 | *09:01 | *16:02 |

BMI, Body Mass Index; DKA, diabetic ketoacidosis; F, female; GADA, glutamic acid decarboxylase 65 antibody; IA2A, tyrosine phosphatase-like insulinoma antigen 2 antibody; IAA, insulin autoantibody; ICA, islet cell antibody; M, male; NGSP, National Glycohemoglobin Standardization Program.

Patients 1-11 had monogenic mutations (see Table 2).

* The number of parents with history of diabetes.

[†] DKA was diagnosed according to the International Society for Pediatric and

Adolescent Diabetes (ISPAD) Clinical Practice Consensus Guidelines 2014 (20).

‡ Susceptible HLA-DRB1 alleles are underlined, and protective alleles are boldfaced.

These alleles were defined based on the report of Sugihara et al (17).

Variants are described according to the HGMD reference sequences: HNF1A

NM_000545.6; *HNF4A* NM_175914.4; *HNF1B* NM_000458.3; *INS* NM_001185098.1.

| | | Mutation | | Population | | Previously | | | | |
|---------|-------|-------------------|----------------------|----------------------|-------|------------|-----------------|------|---------|------------------------|
| Patient | Gene | Protein change | Nucleotide change | frequency in ExAC | SIFT | PolyPhen-2 | Mutation Taster | CADD | M-CAP | identified in patients |
| 1 | INS | p.C31Y | c.92G>A | no data | 0.000 | 1.000 | disease causing | 27.3 | 0.917 | yes ¹³⁾ |
| 2 | INS | p.V42A | c.125T>C | no data | 0.006 | 0.891 | disease causing | 24.5 | 0.708 | yes ²⁵⁾ |
| 3 | INS | p.G75C | c.223G>T | no data | 0.010 | 1.000 | polymorphism | 22.8 | 0.228 | no |
| 4 | INS | p.R89C | c.265C>T | no data | 0.000 | 1.000 | disease causing | 26.3 | 0.800 | yes ²²⁾ |
| 5 | INS | p.C96F | c.287G>T | no data | 0.001 | 1.000 | disease causing | 27.9 | 0.912 | no |
| 6 | INS | p.C96R | c.286T>C | no data | 0.001 | 1.000 | disease causing | 24.7 | 0.938 | yes ¹³⁾ |
| 7 | HNF1A | p.R131Q | c.392G>A | 1 / 121,198 | 0.002 | 1.000 | disease causing | 34.0 | 0.925 | yes ²³⁾ |
| 8 | HNF1A | p.R203S | c.607C>A | no data | 0.001 | 0.995 | disease causing | 26.7 | 0.880 | yes ²⁴⁾ |
| 9 | HNF4A | p.Q142H | c.426G>T | no data | 0.121 | 0.000 | disease causing | 21.1 | no data | no |
| 10 | HNF4A | p.E256A | c.767A>C | 1 / 121,396 | 0.002 | 0.004 | disease causing | 25.2 | 0.467 | no |
| 11 | HNF1B | p.L168P | c.503T>C | no data | 0.000 | 1.000 | disease causing | 28.8 | 0.721 | no |

 Table S2. Mutations identified in present study.

CADD, the Combined Annotation Dependent Depletion; ExAC, the Exome Aggregation Consortium Browser; M-CAP, the Mendelian

Clinically Applicable Pathogenicity; SIFT, Sorting Intolerant From Tolerant.

Scores classified as pathogenic by *in silico* analysis (SIFT scores < 0.05; PolyPhen-2 scores > 0.8; CADD scores > 20; and M-CAP

scores > 0.025) are boldfaced.