1	Increased Cortisol Awakening Response after Completing the Summer Treatment
2	Program in Children with ADHD
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### 38 Abstract

OBJECTIVE: Little is known about the cortisol awakening response (CAR) in children 39 with attention deficit hyperactivity disorder (ADHD). Here, we examined the CAR in 40 children with ADHD and their mothers before, immediately after, and 4 months after an 41intensive summer treatment program (STP). METHODS: Participants were 37 children 4243aged 7-12 years who completed the STP in 2009 and 2010, and their mothers. Daily saliva samples for cortisol measurement were collected twice daily at awakening and 30 min 44afterwards at pre-STP, post-STP, and during a follow-up measurement period. ADHD 45symptom scores were evaluated by parents, and participants completed the Kid-KINDL<sup>R</sup> 46 QOL questionnaire. RESULTS: CAR was low in children with ADHD before the STP, 47and increased to the control range 4 months after STP. Maternal CAR also tended to 48 increase after STP. Changes in the CAR in children tended to correlate with an improved 49ADHD inattention scores (p = 0.091), physical health (p = 0.070), and school life 50subscales scores in the Kid-KINDL<sup>R</sup> (p = 0.079). CONCLUSION: We demonstrated that 51STP improved the behavior and QOL of children with ADHD. Our results indicate that 5253STP could lead to improvements in HPA axis function, as reflected by increased CAR after STP. 54

56	Key words

57	Attention deficit hyperactivity disorder, cortisol awakening response, summer treatment
58	program, QOL, psychosocial treatment
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# 70 Introduction

71	Attention-deficit/hyperactivity disorder (ADHD) is one of the most common
72	childhood psychiatric disorders. Globally, it affects about 5% of children and about
73	2.5% of adults [1]. Children with ADHD show symptoms of inattention, hyperactivity,
74	and impulsivity that is inappropriate for their developmental level [1]. In the Diagnostic
75	and Statistical Manual of Mental Disorders, Fifth Edition (DSM-5), ADHD is
76	categorized as a neurodevelopmental disorder involving brain dysfunction.
77	Based on differing symptoms, ADHD type has been classified according to three
78	clinical presentations: predominantly inattentive, predominantly hyperactive-impulsive,
79	and combined. Comorbid disorders, such as autism spectrum disorders (ASD), learning
80	disorders (LD), anxiety disorders (AxD), oppositional defiant disorders (ODD), and
81	conduct disorders (CD) are frequently observed. ADHD can affect all aspects of a
82	child's life, and can also have a strong influence on the lives of parents and siblings.
83	The hypothalamic-pituitary-adrenal (HPA) axis is a major homeostatic system that
84	is involve in the maintenance of equilibrium between an organism and its environment
85	[2]. The HPA axis is the primary mammalian system associated with stress responses,
86	and the endpoint of HPA-axis activation is the release of glucocorticoid cortisol [3].

87	Cortisol secretion is governed by a diurnal rhythm such that levels are at their highest in
88	the morning and gradually decrease during the night. In addition to circadian variation,
89	an acute increase in cortisol secretion occurs after awakening [4]. Although cortisol is
90	often used as a measure of HPA activation, several studies have indicated that this
91	cortisol awakening response (CAR) may be a more appropriate measure for assessing
92	HPA activation in relation to psychosocial factors [4, 5].
93	Our research team has conducted multiple experiments in which we sampled and
55	Our research team has conducted multiple experiments in which we sampled and
94	measured salivary cortisol in individuals ranging in age from neonates to adults [5-7]. In
95	these previous studies, we reported that salivary CAR was associated with mood status
96	in healthy female children [5] and that plasma cortisol levels were linearly correlated
97	with salivary cortisol in neonates [7]. These findings indicate that salivary cortisol is a
98	useful surrogate marker for plasma cortisol that can be collected in a non-invasive way.
99	Salivary cortisol levels and HPA axis activity in individuals with ADHD have been
100	previously assessed within the framework of the behavioral inhibition system [8].
101	Previous studies have reported an altered cortisol response [9] or lower CAR in children
102	with ADHD compared with healthy control children [9]. Compared with control
103	children, children with ADHD had lower levels of cortisol both when they awoke and

104	30 min thereafter, and a smaller CAR [10, 11]. However, these findings have not been
105	replicated in other studies. Corominas et al. conducted a large-scale review of studies
106	that examined the involvement of cortisol in ADHD [12], and observed two diverse
107	patterns: 1) dampened cortisol responses to stress were associated with comorbid
108	disruptive behavior disorder (DBD), and 2) high cortisol responses were associated with
109	comorbid AxD [12, 13]. These results remain controversial. Discrepancies between
110	studies may be due to different participant characteristics (e.g., medication,
111	comorbidities, age) or different assessment methods (e.g., saliva, plasma).
112	There are three evidence-based treatments for ADHD: medication with a central
113	nervous system stimulant, behavioral modification, such as the summer treatment
114	program (STP) and parent training programs, and a combination of medication and
115	behavioral modification [14]. Numerous studies in North America have reported on the
116	efficacy of the STP over the past decade. These studies have documented substantial
117	STP-related improvements in multiple domains, including peer relationships,
118	compliance with adult requests, and classroom functioning, as assessed via direct
119	behavioral observation and rating scales [15]. We modified the American STP into a 2-
120	week program, which we have administered since 2005 in Kurume, Japan. In a previous
121	report, we demonstrated that our program had a short-term effect on behavioral and

122	cognitive function that lasted up to 4 months after completion [16]. However, previous
123	study lacked objective evaluation techniques, such as measurements of salivary cortisol
124	as a biomarker. Therefore, in the present study, we sought to clarify the influence of
125	STP on the CAR in both children with ADHD and their mothers. We hypothesized that
126	the CAR would be lower in children with ADHD prior to the treatment program, and
127	that it would increase after intensive STP, with specific improvements in behavioral
128	function and QOL.

# 129 **Participants and Methods**

# 130 **Participants**

131	Participants included 48 school children (41 boys and 7 girls) aged 7-12 years who
132	participated in the STP in 2009 and 2010. Diagnoses for these patients were based on
133	the criteria of the Diagnostic and Statistical Manual of Mental Disorders, Fourth Edition
134	Text Revision (DSM-IV-TR) [17] and were determined by three experienced pediatric
135	neurologists (YY, SN, and TM) who, together with multiple clinical psychologists,
136	reviewed detailed participant data [16]. Each participant met the criteria for IQ level,
137	i.e., an IQ greater than 70, as per the Wechsler Intelligence Scale for Children-Third
138	edition.

139	As a control group, 16 age and sex matched children were recruited from an
140	elementary school in the same area. We obtained information about the developmental
141	history and medical status of the children via questionnaires completed by their parents.
142	The parents also completed a questionnaire focused on the symptoms of ADHD based
143	on the DSM-IV-TR [17]. This enabled us to rule out the possibility that members of our
144	control group had ADHD. The control group did not participate in the STP.
145	We obtained both oral and written informed consent from each participant and
146	his/her parents after the details of the study had been fully explained. The ethical
147	committee at Kurume University School of Medicine approved the present study.
148	Saliva sampling
149	Figure 1 shows the detailed study protocol. We collected saliva samples from each child
150	and his/her mother on 2 consecutive Saturdays during weeks without school days or
151	special occasions prior to the STP (pre-STP) and following the STP (post-STP). We also
152	conducted follow-up evaluations of 20 randomly selected children for 4 months after the
153	STP (follow-up STP). A recent consensus regarding the guidelines for assessing the CAR

requires the collection of 3 post-awakening samples [18]. However, according to the study

during each day: immediately upon awakening and 30 minutes after. We required 2 156samples per day instead of 3 to reduce the burden of participation in the study and limit 157the effect of the study on the daily routines of the families and children. Researchers 158159explained the protocol and demonstrated the saliva sampling procedure to the participants and their parents. Participants received training for regarding the saliva collection 160 protocol prior to beginning the study. They were asked not to collect saliva within 30 161 162minutes after eating, drinking, walking, or brushing their teeth. Parents were asked to gently wake up their child. We used a well-established technique for salivary sampling, 163 164 which is a common method of cortisol measurement in adults and children. Saliva samples were collected using Salisoft® (Sarstedt, Inc., Germany). A cotton swab was 165chewed for 2 min without moving the jaw or stimulating saliva production in any way. 166 167 Then the swab was inserted into a double-chamber plastic test tube. Saliva samples were centrifuged at 4°C and frozen at -80°C until assayed. 168

169 Measurement of cortisol

Salivary cortisol concentrations were measured via an enzyme immunoassay (high
sensitivity salivary cortisol enzyme immunoassay kit; Salimetrics LLC, USA). The limit
of detection of this assay in our laboratory was 0.19 nmol/liter. Intra- and inter-assay
coefficients were 5.43% and 6.41%, respectively. The assay plates were read at 450 nm

for cortisol, using a Multiskan Ascent microplate photometer (Thermo Labsystems Oy,
Helsinki, Finland).

#### 176 Summer Treatment Program (STP)

177The STP procedures include a variety of behavioral components that have been 178extensively described and documented elsewhere [16]. There are 3 major components of treatment in the STP: behavioral therapy (e.g., point system, time out, daily report card, 179parent training), social skills training, and sports skills training. Parents participated in 180 two sessions before the beginning of the STP, in which they learned general behavioral 181 principles, such as reinforcement systems, appropriate commands, and time outs. They 182183 were also taught to implement home-based rewards for their child's good performance 184 during STP days, as measured by the daily report card. There were 37 staff members: 7 clinical psychologists, 20 student counselors, 3 pediatricians, 1 nurse, and 6 special 185education teachers. The children attended the STP at an elementary school from 9:30 186 AM until 4:20 PM each weekday. They were placed into 2 age-matched groups of 12 187 188 children that stayed together throughout the day. Each group spent 1.5 h daily in classroom sessions conducted by special education teachers, during which the children 189 completed individualized paper and pencil activities, computer-assisted learning, and 190 peer tutoring in small groups. The remainder of each day was spent engaged in 191

192	recreationally based group activities supervised by 7 clinical psychologists. The
193	intervention was implemented in both classroom and recreational settings while the
194	children were engaged in classroom tasks and group-based recreation.
195	Using a systemic reward/response cost program, children earned points for
196	appropriate behavior (e.g., helping, compliance, good sportsmanship) and lost points for
197	inappropriate behavior (e.g., teasing, noncompliance, rule violations) throughout the
198	day. Counselors recorded the points taken from and awarded to each child throughout
199	the day. Children were disciplined for prohibited behaviors (i.e., intentional aggression,
200	intentional destruction of property, repeated non-compliance), with discipline in the
201	form of loss of privileges or time out from the ongoing activities.

#### 202 Questionnaires

Parents completed a questionnaire that rated the symptoms of ADHD, oppositional
defiant disorder, and conduct disorder, based on DSM-IV-TR criteria, for behavior
observed at the time of saliva collection. Simultaneously, the children completed the
Kid-KINDL<sup>R</sup> questionnaire (Questionnaire for measuring health-related quality of life
in children [20]). The Kid-KINDL<sup>R</sup> questionnaire comprises six domains, (1) physical
health, (2) emotional well-being, (3) self-esteem, (4) family, (5) friends, and (6) school,

209	with four items for each domain. The Kid-KINDL <sup><math>\kappa</math></sup> has been validated as a reliable and
210	practical instrument for assessing the QOL of Japanese elementary school children [21].
211	Scores were transformed such that the range of possible values for the total score
212	extended from 0 (most negative state) to 100 (most positive state).

#### **Statistical Analyses** 213

214	We analyzed the data using SPSS (Statistical Package for the Social Sciences)			

Version 20.0J. Specifically, we analyzed the levels of cortisol and CAR (increase in 215

cortisol levels between awakening and 30 min after awakening) using repeated-216

measures analyses of variance (ANOVA), in which the measurement day was set as an 217

218independent variable (i.e., pre-STP, post-STP, and 4-month follow up) and sampling

219time (i.e., awakening and 30 min after awakening) was set as a within-subject repeated

220measure. We determined the correlations between CAR and symptom scores, and

between CAR and QOL via Pearson's correlation coefficient. Post hoc tests were 221

- conducted using Tukey's least significant difference test. The data are presented as the 222
- 223means  $\pm$  standard deviation (SD).

#### **Results** 224

225	Forty-eight children with ADHD completed the STP in 2009 and 2010. The
226	complete set of saliva samples and questionnaires were obtained from 37 children aged
227	7-12 years (mean 9.4 years, SD 1.5 year) and their 37 mothers. Table 1 provides
228	descriptive information about the participants and control children. One of the children
229	had hyperactive/impulsive type ADHD, 11 had inattentive type, and the others had
230	combined type (Table 1). In the STP group, 21 children were on ADHD medication: 17
231	children were receiving methylphenidate, one was receiving atomoxetine, one was
232	receiving both, one was receiving methylphenidate and risperidone, and one was
233	receiving methylphenidate and clonidine. The other 16 children were not taking
234	medication. In the STP group, 23 of the children had comorbidities; eight had ASD,
235	seven had LD, four had ASD and LD, two had ASD and ODD, and two had LD and
236	ODD (Table 1). Fourteen of the children had no comorbidities. None of the participants
237	had CD or AxD (Table 1).
238	The mean awakening time was not significantly different between the STP group

and the control group (6:51  $\pm$  0:29 STP group vs. 6:45  $\pm$  0:14 control group).

# 240 Cortisol levels and CAR

241	The mean cortisol levels for all sample points and the CAR (increase of cortisol
242	levels between awakening and 30 min after awakening) are presented in Table 2. In
243	both the STP and control groups, the levels of cortisol upon awakening were not
244	significantly different among the pre-STP, post-STP, and follow-up measurements. In
245	the STP group, the level of cortisol 30 min after awakening was not significantly
246	different between the pre- and post-STP conditions, but it was significantly higher at the
247	follow-up compared with the pre-STP measurement ( $p = 0.004$ ) (Fig. 2). There was a
248	significant increase in cortisol levels between awakening and 30 min after awakening
249	post-STP and follow-up, but not pre-STP. In addition, the CAR in the STP group was
250	lower pre-STP compared with the measures in the control group, and increased to the
251	control range 4 months after STP (Table 2, Fig. 3).
252	We observed no significant differences in cortisol and CAR levels between
253	children with ADHD alone and children with ADHD plus comorbidities at any of the
254	pre-STP, post-STP, or follow-up measurement points (Table 3).
255	We found no significant difference in the CAR between non- medicated (N =
256	16) and medicated (N = 21) participants at any of the pre- STP (-0.15 $\pm$ 4.74 vs 0.93 $\pm$
257	4.05; $p = 0.46$ ), post- STP (3.83 ± 4.71 vs 5.62 ± 6.70; $p = 0.37$ ), or follow-up STP (3.68
258	$\pm$ 7.45 vs 10.31 $\pm$ 9.63; <i>p</i> = 0.13) measurement points.

# 260 Changes in symptom scores and CAR

261	Changes in symptom scores are presented in Table 4. Inattention scores tended to
262	differ among the pre-STP, post-STP, and follow-up measurements ( $F(2, 68) = 3.05$ , $p =$
263	0.054) and post-STP scores tended to be lower than pre-STP scores ( $p = 0.100$ ).
264	Hyperactivity/impulsivity scores were significantly different among the pre-STP, post-
265	STP, and follow-up measurements ( $F(2, 68) = 6.77$ , $p = 0.002$ ), and follow-up
266	hyperactivity/impulsivity scores were lower than pre-STP ( $p = 0.018$ ) and post-STP
267	scores ( $p = 0.013$ ). Oppositional defiant scores were not significantly different among
268	the pre-STP, post-STP, and follow-up measurements. Additionally, improvements in
269	inattention subscale scores were weakly correlated with the levels of CAR after
270	completing the STP ( $r = -0.29$ , $p = 0.091$ ). Hyperactivity/impulsivity subscale scores
271	were not correlated with CAR levels.
272	Inattention scores in children with ADHD and LDs tended to be higher than those
273	in children with ADHD and no LD ( $p = 0.095$ ). Additionally, inattention scores in
274	children with ADHD on medication tended to be higher than those in unmedicated

275 children (p = 0.073).

# 276 Changes in QOL scores and CAR

277	Changes in QOL scores are presented in Table 4. For the Kid-KINDL <sup>R</sup> , QOL
278	scores were not significantly different among the pre-STP, post-STP, and follow-up
279	measurements. The changes in CAR between the pre-STP and STP follow-up
280	measurement points tended to be correlated with improved QOL scores in the school ( $r$
281	= 0.41, $p$ = 0.079) and physical health ( $r$ = 0.424, $p$ = 0.070) categories of the Kid-
282	KINDL <sup>R</sup> questionnaire. The hyperactivity/impulsivity score at the pre-STP
283	measurement was negatively correlated with the QOL score in the emotional well-being
284	(r = -0.55, p = 0.001), family $(r = -0.59, p = 0.000)$ , and friends $(r = -0.40, p = 0.02)$
285	categories, and the oppositional defiant score at the pre-STP measurement was
286	negatively correlated with the QOL emotional well-being score ( $r = -0.42$ , $p = 0.013$ ) in
287	the Kid-KINDL <sup>R</sup> questionnaire. The inattention score at the follow-up measurement
288	was negatively correlated with QOL scores in the physical health ( $r = -0.30$ , $p = 0.081$ )
289	and friends ( $r = -0.38$ , $p = 0.026$ ) categories, and the oppositional defiant score at the
290	follow-up measurement was negatively correlated with QOL scores in the physical
291	health ( $r = -0.32$ , $p = 0.063$ ), emotional well-being ( $r = -0.40$ , $p = 0.019$ ), family ( $r = -0.40$ , $p = 0.019$ ), family ( $r = -0.40$ , $p = -0.019$ ), $q = -0.019$ , $q = -0.019$ ), $q = -0.019$ , $q = -0.019$ , $q = -0.019$ ), $q = -0.019$ , $q = -0.$
292	-0.33, $p = 0.060$ ), and school ( $r = -0.31$ , $p = 0.077$ ) categories in the Kid-KINDL <sup>R</sup>
293	questionnaire.

Changes in the hyperactivity/impulsivity score between the pre-STP and follow-up measurements points tended to be correlated with an improved QOL self-esteem score (r = -0.30, p = 0.082) in the Kid-KINDL<sup>R</sup> questionnaire. Changes in the ODD score between the post and follow-up measurements were correlated with an improved QOL friends score (r = -0.38, p = 0.029) in the Kid-KINDL<sup>R</sup> questionnaire. Comorbidities (ASD and LD) did not affect the QOL score.

#### 300 Cortisol levels and CAR in mothers of children in the STP group

301	Changes in cortisol levels and the CAR of mothers of children in the STP

302 group are presented in Table 2 and Figure 4. We found a gradual increase in CAR

through the pre-STP, post-STP, and follow-up measurements, even though cortisol

levels were not significantly different (F(2, 88) = 1.543, p = 0.219).

### 305 **Discussion**

306 The HPA axis plays an important role in regulating neurotransmitters and

- 307 behaviors associated with the central nervous system, such as attention, emotion,
- learning, memory, and movement [22, 23]. Previous studies have reported that the HPA
- axis might function abnormally in children with ADHD. Indeed, this dysfunction may

be directly tied to symptoms of ADHD, such as attention deficit, hyperactivity, and
impulsive behavior [24].

312	In this study, we investigated levels of salivary cortisol in children with
313	ADHD, their mothers, and control children, along with ADHD symptom scores and
314	QOL scores at pre-STP, post-STP, and follow-up timepoints after STP. To our
315	knowledge, this is the first study to demonstrate that low CAR levels in children with
316	ADHD increased following completion of a summer treatment program with intensive
317	behavioral therapy, social skills training, and sports skills training.
318	Our finding that children with ADHD had a lower CAR was consistent with
319	previous studies [9], and supports the notion of dysregulation of the HPA-axis in
320	children with ADHD. Freitag et al. (2009) compared CAR levels in children with
321	ADHD + comorbid disorders with those with ADHD alone and found that diminished
322	CAR levels appeared to be specific to children with ADHD + ODD. Similarly, another
323	study found no evidence of diminished CAR in children with ADHD without comorbid
324	disorders, and also found no decrease in CAR levels in children with ADHD + CD or
325	ADHD + AxD [25]. Although our participants were free of CD and AxD, they still
326	showed decreased CAR levels. This could indicate that HPA axis dysfunction also
327	exists in children with ADHD and no CD or AxD.

328	Several researchers have reported an association between HPA axis
329	dysfunction and hyperactivity [9, 26], general ADHD symptoms [8], or genetic factors
330	[27-29]. In our study, improvements in inattention and hyperactivity/ impulsivity
331	subscale scores were correlated with changes in CAR. ADHD has been associated with
332	behavioral disinhibition [30]. Disinhibition appears to be related to dysfunction of the
333	HPA axis, whereas behavioral inhibition has been associated with activity in the HPA
334	axis [31]. To our knowledge, no previous studies have investigated the therapeutic
335	effect of STP and associated changes in CAR levels in children with ADHD. Our results
336	indicate that intensive behavioral STP treatment led to improved behavioral inhibition
337	and QOL. Such changes might lead to improved HPA axis function in children with
338	ADHD, as reflected by the observed increase in CAR levels after STP.
339	Recently, a specific haplotype (G:A:G:G; ER22/23EK- N363S- BclI- A3669G)
340	of the glucocorticoid receptor (GR) gene was found to be significantly associated with
341	behaviors related to ADHD symptoms, comorbidity with ODD, and executive function
342	domains [32]. Furthermore, the GR variants have been associated with changes in HPA
343	axis and stress-related disorders, and contribute to individual differences in resilience
344	and vulnerability to stressors [33, 34]. Taking these findings together with ours, ADHD
345	symptoms appear to be associated with ADHD and HPA axis dysregulation.

346	The opportunities uncovered via epigenetic modification of the GR gene
347	indicate that it is possible to establish an epigenetic state of a gene through behavioral
348	programming, and that this process has the potential to be reversible [35]. In addition,
349	Robert et al. found suggestive evidence for an association between changes in the
350	methylation of FKBP5, which is a functional negative regulator of GR, and
351	psychological therapy response [36, 37]. These mechanisms might lead to the observed
352	increase in CAR levels following intensive behavioral STP treatment. Moreover, the
353	effect was sustained until the follow-up at 4 months after the STP, indicating long-
354	lasting effects.
355	In the present study, we found that CAR levels in mothers of children with
355 356	In the present study, we found that CAR levels in mothers of children with ADHD also tended to increase following the STP. Improvements in mother-child
356	ADHD also tended to increase following the STP. Improvements in mother-child
356 357	ADHD also tended to increase following the STP. Improvements in mother-child interactions have been found to modify cortisol responses to stress [38], and our
356 357 358	ADHD also tended to increase following the STP. Improvements in mother-child interactions have been found to modify cortisol responses to stress [38], and our findings that low maternal CAR also increased after the STP support this notion.
356 357 358 359	ADHD also tended to increase following the STP. Improvements in mother–child interactions have been found to modify cortisol responses to stress [38], and our findings that low maternal CAR also increased after the STP support this notion. Our results suggest that intensive STP behavioral treatment results in improved

363 after the STP.

364	The findings of this study should be interpreted within the context of certain
365	limitations. The principal limitation of our study was the small sample size. Second, our
366	sample contained many more boys than girls, reflecting the fact that ADHD is more
367	commonly observed in boys. Third, ADHD medication presents a potential bias. Previous
368	studies about methylphenidate or atomoxetine have demonstrated the increase and
369	normalization of cortisol levels by noradrenergic potentiation [39-41]. Another study
370	showed that the cortisol levels of ADHD patients increased significantly after 1 month of
371	MPH treatment, but were found not to change significantly during a 6-month treatment
372	period [42]. In this study, most of the medicated children with ADHD had been taking
373	oral MPH or ATX for more than 6 months, and thus we would not observe any significant
374	differences in cortisol levels between the medicated and unmedicated group. Further
375	research with a larger sample is required to identify differential association based on
376	gender or medication. Additionally, to fully examine the precise effect of the STP on
377	CAR levels in children with ADHD, it is necessary to also consider changes in CAR
378	levels in children with ADHD who do not participate in the STP. Finally, children with
379	ADHD unexpectedly provide extremely positive reports of their own competence in
380	comparison to other criteria reflecting actual competence, in a phenomenon known as
381	positive illusory bias (PIB). Hypothesized explanations for the PIB include cognitive

immaturity, neuropsychological deficits leading to ignorance of incompetence, and selfprotection [43, 44]. Thus, the PIB may have affected the accuracy of the QOL questionnaire, which was completed by the participants. Further studies thus could include evaluations of QOL, completed not only by the participants but also by their mothers or teachers.

#### 387 **Conclusions**

388 The STP improved behavior in children with ADHD and may improve HPA 389 axis function, as reflected by an increase in CAR levels after STP. Furthermore, we 390 demonstrated that improvements in mother–child interactions could modify cortisol 391 responses to stress. Further studies are needed to confirm the influence of mother–child 392 interactions on HPA axis activity.

### 393 Acknowledgments

This study was supported in part by an Intramural Research Grant (22-6, 25-6; Clinical Research for Diagnostic and Therapeutic Innovations in Developmental Disorders) for Neurological and Psychiatric Disorders of NCNP and the Grant-in-Aid for Scientific Research C (19591231, 23591519, 15K09632) from the Ministry of Education, Culture, Sports, Science and Technology of Japan.

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# 539 Figure legends

540	Figure	1.	Study	protocol
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541	Figure 2. Comparison of cortisol levels in children with ADHD at pre-STP, post-STP,
542	and at a STP follow-up measurement.
543	In the STP group, cortisol levels 30 min after awakening were significantly higher 4
544	months after STP (Follow-up STP) compared with those in the Pre-STP measurement.
545	# p < 0.01 vs. Pre-STP
546	
547	Figure 3. Cortisol awakening response (CAR) in children with ADHD pre-STP, post-
548	STP, and at a STP follow-up measurement.
549	* $p < 0.05$ vs. control group
550	Pre-STP CAR in the STP group was significantly lower than that in the control group.
551	
552	Figure 4. Comparison of cortisol levels in mothers of children with ADHD at pre-STP,
553	post-STP, and at STP follow-up measurement points.
554	Cortisol levels were not significantly different.
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## Table 1. Participant demographic characteristics

		STP group	Control group
		N=37	N=16
Age [mean (SD)]		9.4 (1.5)	9.3 (1.4)
Sex [N (%)]			
	Male	31(83.8)	15 (93.7)
	Female	6 (16.2)	1 (6.3)
Time of awakening [mean (SD)]		6:51 (00:29)	6:45 (00:14)
ADHD subtype	[N (%)]	·	·
	Hyperactive/ Impulsive type	1 (2.7)	
	Inattentive type	11 (29.7)	
	Combined type	25 (67.6)	
Medication [N	(%)]		
	Methylphenidate	17(45.9)	
	Atomoxetine	1 (2.7)	
	Methylphenidate + Atomoxetine	1 (2.7)	
	Methylphenidate + Risperidone	1 (2.7)	
	Methylphenidate + Clonidine	1 (2.7)	
	None	16 (43.2)	
Comorbidities [	N (%)]		
	ASD	8 (21.6)	
	LD	7 (18.9)	
	ASD + LD	4 (10.8)	
	ASD + ODD	2 (5.4)	
	LD + ODD	2 (5.4)	
	None	14 (37.8)	
WISC-III [mean	n (SD)]		
	VIQ	100.2 (11.3)	
	PIQ	92.1 (12.6)	
	FIQ	96.2 (10.5)	

574 ASD; Autism spectrum disorder, LD; Learning disorder, ODD; Oppositional defiant

#### 575 disorder

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#### 578 Table 2. Changes in salivary cortisol concentration

		Pre-STP	Post-STP	Follow-up STP
STP group		N=37	N=37	N=20
	on awakening	11.56 (6.32)	9.87 (3.49)	11.23 (7.18)
	30 min after awakening	12.02 (5.31)	14.71 (7.10) <sup>c</sup>	18.56 (9.72) <sup>ce</sup>
	CAR	0.46 (4.33)	4.84 (5.91) <sup>d</sup>	7.33 (9.15) <sup>e</sup>
Control group		N=16	N=16	N=16
	on awakening	7.91 (2.04)	9.78 (4.24)	8.23 (3.62)
	30 min after awakening	14.89 (8.21) <sup>c</sup>	17.31 (8.04) <sup>c</sup>	17.03 (8.71) <sup>c</sup>
	CAR	6.98 (7.73) <sup>g</sup>	7.53 (6.45) <sup>h</sup>	8.80 (6.08) <sup>i</sup>
Mothers of STP group		N=37	N=37	N=20
	on awakening	11.10 (6.75)	10.80 (5.53)	13.63 (10.45)
	30 min after awakening	13.69 (8.81) <sup>a</sup>	14.65 (10.11) <sup>b</sup>	18.56 (11.28) <sup>b</sup>
	CAR	2.59 (8.06)	3.84 (7.68)	4.92 (4.84)

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580 <sup>a</sup>  $p \le 0.05$  vs. on awakening; <sup>b</sup>  $p \le 0.01$  vs. on awakening ; <sup>c</sup>  $p \le 0.001$  vs. on awakening

581 <sup>d</sup>  $p \le 0.05$  vs. Pre-STP; <sup>e</sup> $p \le 0.01$  vs. Pre-STP; <sup>f</sup> $p \le 0.001$  vs. Pre-STP;

582 <sup>g</sup>  $p \le 0.05$  vs. Pre-STP of STP group; <sup>h</sup>  $p \le 0.01$  vs. Pre-STP of STP group; <sup>i</sup>  $p \le 0.001$  vs.

583 Pre-STP of STP group

584 CAR cortisol awakening response (increase in cortisol levels between awakening and 30

- 585 min after awakening)
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# 597 Table 3. Changes in salivary cortisol concentration in children with ADHD alone vs.

children with ADHD with comorbidities

		pre STP	post STP	follow-up STP
ADHD alone		N=14	N=14	N=7
	on awakening ( <i>nmol/</i> 1)	6.74 (3.32)	5.88 (2.21)	8.63 (5.76)
	30 min after awakening ( <i>nmol/1</i> )	6.86 (3.25)	9.80 (4.11)	11.14 (5.75)
	CAR	0.12 (1.93)	3.92 (3.77)	2.51 (4.32)
ADHD + comorbidities		N=23	N=23	N=13
	on awakening ( <i>nmol/</i> 1)	6.23 (3.68)	5.24 (1.77)	4.95 (1.86)
	30 min after awakening ( <i>nmol/1</i> )	6.57 (2.82)	7.18 (3.57)	9.86 (5.39)
	CAR	0.34 (2.69)	1.94 (2.77)	4.91 (5.42)

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# Table 4. Changes in psychometric profiles of children with ADHD

	Pre-STP	Post-STP	Follow-up STP
	N=37	N=37	N=37
Symptom scores [mean (SD)]			
hyperactivity-impulsivity	11.47 (5.86)	10.50 (5.36)	8.84 (4.86) <sup>ab</sup>
inattention	18.25 (4.56)	16.56 (5.22)	16.54 (5.05) <sup>a</sup>
oppositional defiance	8.97 (6.22)	8.39 (5.47)	7.92 (5.49)
The Kid-KINDL <sup>R</sup> [mean (SD)]			
physical health	80.88 (19.64)	88.60 (15.35)	83.27 (19.99)
emotional well being	80.70 (27.50)	85.48 (17.99)	84.19 (20.08)
self-esteem	54.04 (28.24)	59.19 (28.67)	62.68 (31.81)
family	67.65 (28.35)	73.16 (20.90)	70.96 (21.37)
friends	70.4 (18.48)	72.24 (22.57)	74.82 (22.90)
school	64.15 (24.68)	63.24 (28.03)	56.80 (21.78)
total QOL	70.24 (16.66)	74.05 (14.68)	72.65 (17.98)

619 <sup>a</sup>  $p \le 0.05$  vs Pre-STP; <sup>b</sup>  $p \le 0.05$  vs Post-STP

620 Symptom scores: ADHD questionnaire, oppositional defiant disorder, and conduct

621 disorder rating scales based on DSM-IV criteria.

# Figure 1. Protocol

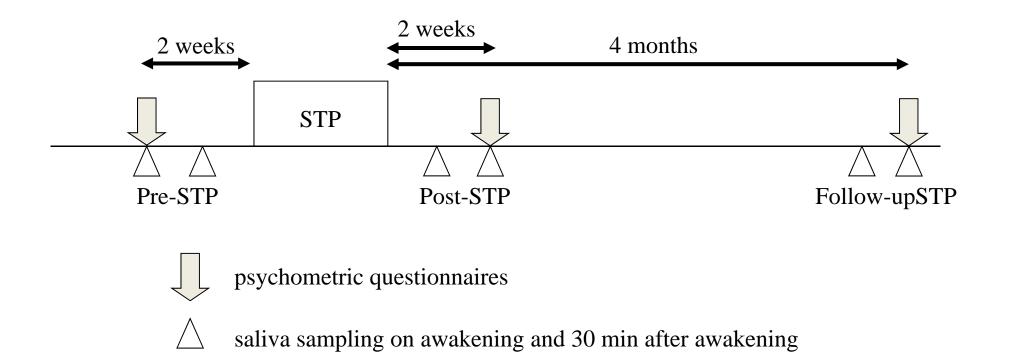
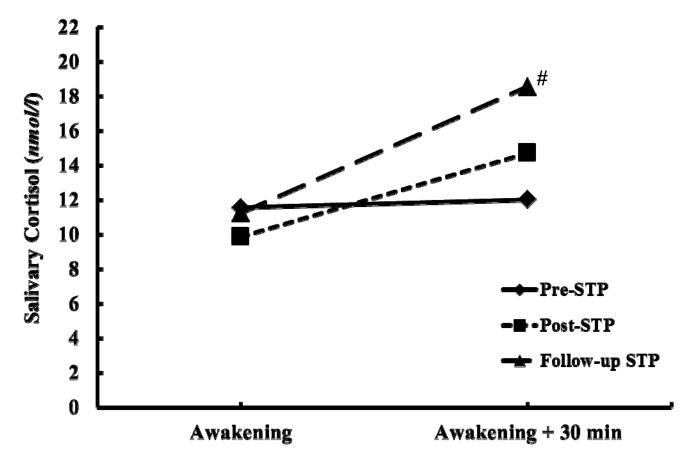


Figure 2.

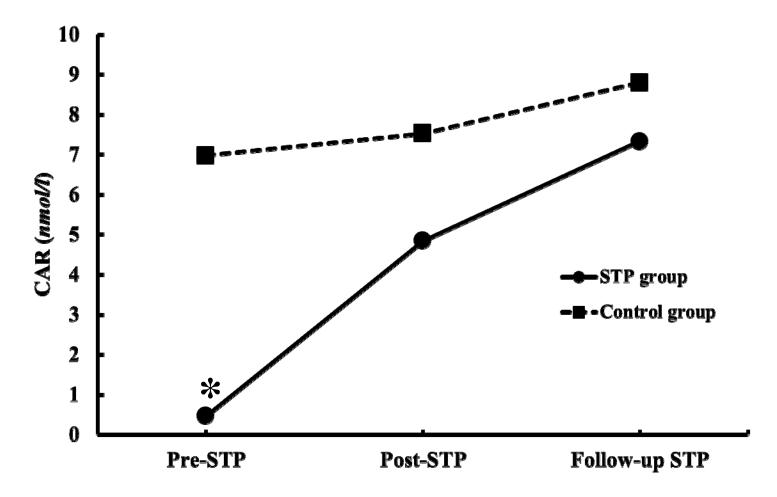
Comparison of the cortisol levels in children with ADHD at pre- and post-STP, and at a follow-up measurement after STP.



In the STP group, the level of cortisol 30 min after awakening was significantly higher 4 months after STP (Follow-up STP) compared with that in the Pre-STP measurement.

# *p* < 0.01 vs. Pre-STP

Figure 3. Cortisol awakening response (CAR) in children with ADHD pre- and post-STP and at a follow-up measurement after STP.



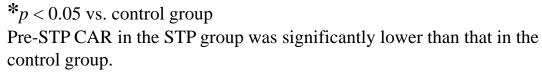
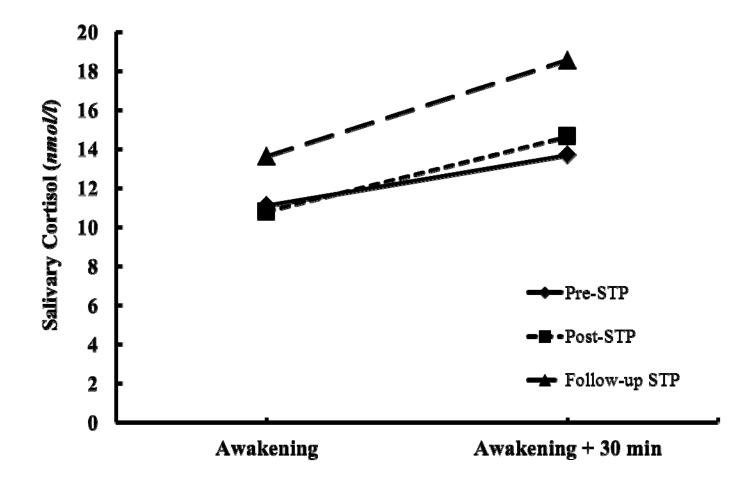


Figure 4 Comparison of the cortisol levels in mothers of children with ADHD at pre, post, and STP follow-up measurement points.



Cortisol levels were not significantly different.