

1 **Analysis of the *BRAFV600E* mutation in 19 cases of Langerhans cell histiocytosis in Japan**

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Running head: *BRAFV600E* in Langerhans cell histiocytosis in Japan

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Abstract

Langerhans cell histiocytosis (LCH) is a rare disease characterized by clonal proliferation of CD1a- and CD207 (langerin)-positive dendritic cells. Mutated *BRAF* (*p.V600E*) is observed in histiocyte-related diseases and dendritic cell-related diseases, including LCH. *BRAFV600E* is observed in some LCH cases and is thought to be involved in maintaining MAPK activation. We retrospectively analyzed *BRAFV600E* in 19 patients diagnosed with LCH. In our study, direct sequencing for exon 15, a mutation hotspot, demonstrated that 4 out of the 19 patients (21%) harbored a GTG>GAG (valine>glutamic acid) base substitution, which encodes *BRAFV600E*. The clinical impact of *BRAFV600E* in such diseases is unclear. The frequency of *BRAFV600E* in our LCH patients from Japan was lower than that reported in the United States and in Germany. However, reports from Asia tend to show a lower rate of the *BRAFV600E* mutation. These results imply the possibility of different genetic backgrounds in the pathogenesis of LCH across various ethnicities. We also performed an immunohistochemical analysis to detect *BRAFV600E* using the mutation-specific monoclonal antibody. However, immunohistochemical analysis failed to detect any mutated protein in any of the 4 *BRAFV600E*-positive cases. This implies that at present, *BRAFV600E* should be assessed by direct sequencing.

1 Introduction

2 Langerhans cell histiocytosis (LCH) is a disease characterized by clonal proliferation of CD1a- and CD207
3 (langerin)-positive dendritic cells [1, 2]. It is a very rare disease, with a prevalence of 5 to 10 in 1,000,000
4 people. The male-to-female ratio is 2:1 [3]. LCH affects a wide range of age groups, from newborns to the
5 elderly. The manner of involvement varies, and single-organ local involvement (single-system single-site; SS),
6 single-organ multiple involvement (single-system multi-site; SM), and multi-organ multiple involvement
7 (multi-system multi-site; MM) are recognized. In SS-type LCH, lesion(s) may disappear upon follow-up
8 without treatment, but SM- and MM-type LCH are often treated with chemotherapy [4, 5].

9
10 BRAF is a protein belonging to the serine/threonine kinases, and it plays an important role in the
11 mitogen-activated protein kinase (MAPK) pathway. MAPK regulates cell maintenance and proliferation in
12 some solid tumors, such as melanoma and colon cancer. The genetic mutation *BRAFV600E* is observed in
13 histiocyte-related diseases and dendritic cell-related diseases, including LCH [6]. The *BRAFV600E* mutation
14 is also observed in some LCH cases and is thought to be involved in maintaining MAPK activation [7].

15
16 Using mass spectrometry analysis, Badalian-Very et al. showed *BRAFV600E* to be present in 35 of 61 cases
17 of LCH (57%) in the United States [8]. Reported frequencies of *BRAFV600E* in LCH patients in other
18 countries are 34 of 89 (38%) in Germany [9], 7 of 15 (47%) in Hungary [10], and 6 of 27 (22%) in South
19 Korea [6]. In contrast with these figures, Tong et al. found no *BRAFV600E* in any of the 18 LCH patients they
20 studied in China recently [11]. This implies that different ethnicities have different rates of *BRAF* mutations

1 in LCH. We retrospectively analyzed *BRAFV600E* among Japanese people affected with LCH by using direct
2 sequencing and immunohistochemistry (IHC). Here, we present the results of these assays, along with clinical
3 information.

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1 Materials and methods

2 Patients and data collection

3 This retrospective analysis was conducted in 19 patients diagnosed with LCH, whose samples were submitted
4 for diagnosis to the Department of Pathology, Kurume University, Kurume, Japan (Table 1). Among the 19
5 cases, we obtained prognostic information in 14 cases. Patients with histiocytic sarcoma and Erdheim–
6 Chester disease (ECD) were excluded from this study.

7

8 Paraffin-embedded tissues were available from all 19 LCH patients. All biopsied samples were
9 obtained prior to any therapy. The study received approval from the Research Ethics Committee of Kurume
10 University and was performed according to the principles of the Helsinki Declaration. The reporting system
11 for materials and clinical information ensured the anonymity of patients.

12

13 Morphologic analysis

14 The diagnosis of LCH was based on clinical and histologic diagnosis. Biopsies were performed in all LCH
15 patients. Histopathological evaluation of initial samples was done using formalin-fixed, paraffin-embedded
16 (FFPE) blocks.

17

18 Immunohistochemistry

19 The original diagnosis was also supported by IHC using CD1a (mouse monoclonal antibody, clone MTB1;
20 Leica Biosystems, Newcastle upon Tyne, UK), CD207 / langerin (mouse monoclonal antibody, clone 12D6;

1 Leica Biosystems), and S100 (polyclonal rabbit antibody, Dako, Glostrup, Denmark). To evaluate the presence
2 of BRAF protein in LCH, we also performed IHC using a mouse monoclonal BRAFV600E antibody (mouse
3 monoclonal antibody, clone VE1; Spring Bioscience, Pleasanton, CA, USA). The antibody was constructed
4 for detection of the mutant protein BRAFV600E. In particular, the BRAFV600E antibody (dilution: 1:100)
5 was used in IHC with the EnVision G2 Doublestain System (Dako, Glostrup, Denmark) for detection of the
6 mutant protein BRAFV600E in sections of 2.5- μ m thickness cut from FFPE blocks after heat-induced antigen
7 retrieval (boiling for 40 minutes at 95°C, in 10 mM Tris-buffer/1 mM EDTA, pH 9.0).

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9

1 DNA isolation and *BRAFV600E* mutation analysis in direct sequencing

2 We performed *BRAF* mutation analysis in 19 LCH patients by direct sequencing. Tumor DNA was isolated
3 from the relevant FFPE tissue blocks by cutting 10- μ m-thick sections followed by extraction using KAPA
4 Express Extract Kits (Kapa Biosystems). The median estimate of the tumor percentage in the biopsied sample
5 was 50% (10-90%). *BRAF* exon 15, a mutation hotspot, was amplified by semi-nested polymerase chain
6 reaction (PCR). In the first round, PCR was performed using KAPA2G Robust PCR Kits (forward primer, 5'-
7 TAAACTCTTCATAATGCTTGCTTGCTCTGAT-3'; reverse primer, 5'-
8 AACTCAGCAGCATCTCAGGGCCAA-3') on a GeneAtlas 482 thermal cycler (ASTECH) with the following
9 cycling conditions: initial denaturation at 95°C for 10 min; 40 cycles of denaturation at 95°C for 30 s,
10 annealing at 60°C for 20 s, and extension at 72°C for 30 s; and final extension at 72°C for 10 min. In the
11 second step, PCR was performed using AmpliTaq Gold DNA Polymerase (Applied Biosystems) (forward
12 primer, 5'-CATAATGCTTGCTCTGATAGGAAAATGAG-3'; reverse primer, 5'-
13 AACTCAGCAGCATCTCAGGGCCAA-3') on the GeneAtlas 482 thermal cycler with the following cycling
14 conditions: initial denaturation at 95°C for 10 min; 40 cycles of denaturation at 95°C for 30 s, annealing at
15 60°C for 20 s, and extension at 72°C for 30 s; and final extension at 72°C for 10 min. The positive control
16 was β -actin in each set of amplifications. PCR products were detected by 2% agarose gel electrophoresis and
17 then purified and sequenced on an ABI PRISM 310 Genetic Analyzer (Life Technologies). Analyses of the
18 sequence data were performed by using GENETYX software Ver.10 (GENETYX). The reference sequence
19 was a DNA sequence complementary to the *BRAF* sequence.

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Results

Morphologic analysis and Immunohistochemistry

Hematoxylin-eosin (HE) staining of the biopsies showed features of LCH (e.g., abnormal histiocytic cells, eosinophils, small lymphocytes, and macrophages). CD1a, CD207/langerin and S100 were all positive in all cases (Figure 1).

BRAFV600E mutation

BRAFV600E mutation analysis was performed in 19 patients. Direct sequencing for exon 15 demonstrated 4 patients to have a GTG>GAG (valine>glutamic acid) base substitution, which leads to the production of mutant protein BRAFV600E (Figure 2). However no mutated protein was found in patients found to be BRAFV600E-positive by direct sequencing (Figure 1). IHC failed to detect mutated protein in any of the cases, indicating a discrepancy between the direct sequencing results and the IHC results (Table 1).

Clinical course and treatment

Of the 19 patients, 9 were male and 10 were female. The manner of involvement was SS in 9 cases, SM in 1 case, and MM in 9 cases. Table 2 summarizes the sites of involvement at diagnosis. Patient 17 had a complication of central diabetes insipidus. The median observation period was 39 months; 11 patients survived, and 3 died due to either the underlying disease or related complications.

1 SS-type LCH patients, except patients 4 and 5, underwent follow-up without treatment, while most
2 SM and MM-type LCH patients received chemotherapy (Table 3). Chemotherapy was performed for patient
3 3 because he experienced disease progression during watchful waiting. Patient 4 received chemotherapy
4 because he experienced gait disturbance and pain due to nerve involvement of the tumor. In 9 patients, the
5 regimen of the JLSG-02 protocol (Ara-C, vincristine, and prednisolone), designed by the Japan LCH Study
6 Group, was used [12]. Patient 11 showed refractory disease and received allogeneic umbilical cord blood
7 transplantation. However, she died due to transplant-related events. In patients 16 and 17, initial treatment was
8 insufficiently effective. They therefore received salvage therapy with cladribine, and remission of the tumor
9 cells was achieved in both patients.

1 Discussion

2 Currently, there is agreement that LCH is a clonal neoplasm. In the present report, the fact that the *BRAFV600E*
3 mutation was present in some LCH patients supports the neoplastic nature of LCH. Berres et al. found the
4 *BRAFV600E* mutation in 64% of LCH patients in the United States. Interestingly, the same group found that
5 the presence of *BRAFV600E* in LCH patients was not related to the prognosis [13]. Go et al. reported the
6 *BRAFV600E* mutation to also be present in histiocytic diseases other than LCH [6]. More specifically, they
7 observed the *BRAFV600E* mutation in 1 of 1 (100%) case of Langerhans cell sarcoma, 5 of 8 (62.5%) cases
8 of histiocytic sarcoma, 1 of 10 (10%) cases of giant cell tumor of the bone, and 1 of 20 (5%) cases of
9 tenosynovial giant cell tumor.

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11 In our study, *BRAFV600E* was noted in 4 out of 19 Japanese patients (21%). This proportion is lower
12 than that reported by Badalian-Very et al. in the United States (57%), Sahm et al. in Germany (38%), and
13 Méhes, et al. in Hungary (47%) [8-10]. In contrast, reports from Asia have demonstrated a lower frequency of
14 this mutation. Go et al. showed *BRAFV600E* in 22.2% of LCH cases in South Korea, while Tong et al. did not
15 detect it in any of the 18 cases they analyzed in China [6, 11]. These results imply that the genetic basis
16 underlying the pathogenesis of LCH differs by ethnicity.

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18 Additionally, Badalian-Very et al. previously demonstrated that MEK and ERK, which are downstream
19 effectors of the MAPK pathway, are phosphorylated in LCH irrespective of the existence of the *BRAFV600E*
20 mutation [8]. The fact that MEK and ERK were phosphorylated in LCH irrespective of the *BRAFV600E*

1 mutation might explain the finding by Marie et al. that LCH patients with *BRAFV600E* and LCH patients with
2 wild-type *BRAF* had similar prognoses. It remains unknown why LCH patients lacking the *BRAFV600E*
3 mutation have continuous activation of genes downstream of MAPK signaling [8]. A recent report by Noah et
4 al. in the United States showed that 18 out of 40 (45%) LCH patients without the *BRAFV600E* mutation had
5 *MAP2K1* (also known as *MEK1*) mutations. The mutations were found in the exon 2 region or exon 3 region
6 of *MAP2K1* [14]. *MAP2K1* is a gene found downstream of *BRAF*, and this finding suggests that the activation
7 of the MAPK pathway does not require the *BRAFV600E* mutation in some LCH cases. It is therefore
8 speculated that other elements, such as *MAP2K1* mutations, are involved in the pathogenesis of LCH. Further
9 studies are needed to clarify whether *MAP2K1* mutations also occur in Japanese LCH cases and to determine
10 the factors related to disease progression of LCH.

11
12 In the present study, a *BRAFV600E*-specific monoclonal antibody was used to detect the mutant
13 protein of *BRAF* by IHC. However, no mutated protein was found in any of the 4 *BRAFV600E*-positive
14 patients. Such discordance regarding *BRAF* status between the result of direct sequencing and IHC often
15 occurs [9, 10]. Løes et al. stated that Sanger sequencing yielded superior results to IHC using the same primary
16 antibody that we used, VE1, in colon cancer [15]. This implies that at present, *BRAFV600E* should be assessed
17 by direct sequencing.

18
19 The efficacy of vemurafenib, a *BRAFV600E* inhibitor, has not been definitively assessed in LCH;
20 however, some reports have described it to be effective in histiocytic diseases like hairy cell leukemia and

1 complicating ECD and LCH [16-18]. Further analyses are needed to evaluate therapeutic effects of
2 vemurafenib against LCH with BRAFV600E. In *BRAFV600E*-negative cases, MEK inhibitors may be
3 candidates for targeted therapy. It should be recognized that many LCH cases are pediatric, and it is therefore
4 necessary to pay close attention to the long-term side effects of cytotoxic chemotherapy.

5
6 In the path ahead, molecular-targeted therapies having less serious side-effects need to be developed.
7 Investigating treatment strategies that also take growth and development into account remains an important
8 task. In addition, further studies are warranted to clarify whether *MAP2K1* mutations also occur in Japanese
9 LCH patients and to identify the factors related to disease progression of LCH. Variation in the genetic basis
10 of LCH pathogenesis among different ethnicities is another interesting feature worth exploring and might
11 unravel novel therapeutic targets.

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4 **Conflict of interest**

5 The authors have no potential conflicts to disclose.

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Table 1 Patient profile and the results of BRAFV600E mutation analysis by direct sequencing and IHC and clinical features

| Case | Diagnosis | Age (y) at diagnosis | Sex | Extension | Tested tissue | BRAF Status | | Extention | | | | | | | | | | | Coexistence of DI | |
|------|-----------|-------------------------|-----|-----------|--------------------|-------------|-----|-----------|-------------|-------------|------|-----|-----|-------|--------|------|-----|-----|----------------------|-----|
| | | | | | | Seq | IHC | Skin | Soft tissue | Oral cavity | Bone | BM | LN | Liver | Spleen | Lung | GI | CNS | | |
| 1 | LCH | 0 | F | SS | Skin | Wt | N/E | + | - | - | - | - | - | - | - | - | - | - | - | - |
| 2 | LCH | 0 | F | SS | Lymph node | Wt | N/E | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| 3 | LCH | 1 | F | SS | Lymph node | Wt | N/E | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| 4 | LCH | 1 | M | SS | Soft tissue | Wt | N/E | - | + | - | - | - | - | - | - | - | - | - | - | - |
| 5 | LCH | 2 | M | SS | External ear canal | Wt | N/E | + | - | - | + | - | - | - | - | - | - | - | - | - |
| 6 | LCH | 4 | F | SS | Bone | Wt | N/E | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| 7 | LCH | 5 | M | SS | Bone | V600E | N/E | - | - | - | + | - | - | - | - | - | - | - | - | - |
| 8 | LCH | 11 | M | SS | Frontal sinus | Wt | N/E | - | - | - | + | - | - | - | - | - | - | - | - | - |
| 9 | LCH | 13 | F | SS | Thyroid gland | Wt | N/E | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| 10 | LCH | 1 | M | SM | Bone | Wt | N/E | - | - | - | + | - | - | - | - | - | - | - | - | - |
| 11 | LCH | 0 | F | MM | Spleen | Wt | N/E | + | - | - | - | - | + | + | + | + | - | - | - | - |
| 12 | LCH | 0 | M | MM | Lymph node | Wt | N/E | - | - | - | - | - | + | + | - | - | - | - | - | - |
| 13 | LCH | 1 | F | MM | Skin | V600E | N/E | + | - | - | + | - | + | - | - | - | - | - | - | - |
| 14 | LCH | 1 | M | MM | Bone | V600E | N/E | + | - | - | + | - | - | - | - | - | - | - | - | - |
| 15 | LCH | 3 | F | MM | Bone | V600E | N/E | - | + | - | - | - | + | - | - | - | - | - | - | - |
| 16 | LCH | 3 | M | MM | Skin | Wt | N/E | + | - | - | - | + | - | - | - | - | - | - | - | - |
| 17 | LCH | 27 | F | MM | Pituitary gland | Wt | N/E | - | - | + | + | - | - | - | - | - | - | + | + | + |
| 18 | LCH | 57 | M | MM | Skin | Wt | N/E | + | - | - | - | N/A | + | - | - | - | - | - | - | - |
| 19 | LCH | 71 | F | MM | Lymph node | Wt | N/E | + | + | - | - | - | + | - | - | - | - | - | - | - |

Seq, result of direct sequence; IHC, result of immunohistochemistry; LCH, Langerhans cell histiocytosis; M, male; F, female; SS, single-system single-site; SM, single-system multi-site; MM, multi-system multi-site; N/E, Not estimable; BM, bone marrow; LN, lymph node; GI, stomach and intestine; CNS, central nervous system; DI, diabetes insipidus; N/A, not applicable

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Table 2 Treatment and outcome

| Case | Initial therapy | Effect of initial therapy | Salvage therapy | Effect of salvage therapy | Follow-up (months) | Status |
|------|------------------|---------------------------|-----------------|---------------------------|--------------------|--------|
| 1 | Watchful waiting | - | - | - | 46 | Alive |
| 2 | L/F | L/F | L/F | L/F | L/F | L/F |
| 3 | L/F | L/F | L/F | L/F | L/F | L/F |
| 4 | JLSG-02 | RD | - | - | 23 | Alive |
| 5 | VCR+PSL | PD | JLSG-02 | PD | 56 | Dead |
| 6 | L/F | L/F | L/F | L/F | L/F | L/F |
| 7 | Watchful waiting | RD | - | - | 7 | Alive |
| 8 | Watchful waiting | - | - | - | 39 | Alive |
| 9 | L/F | L/F | L/F | L/F | L/F | L/F |
| 10 | JLSG-02 | RD | - | - | 27 | Alive |
| 11 | JLSG-02 | PD | HD Chemo+URCBT | N/A | 8 | Dead |
| 12 | JLSG-02 | RD | - | - | 84 | Alive |
| 13 | JLSG-02 | RD | L/F | L/F | 1 | L/F |
| 14 | JLSG-02 | RD | - | - | 40 | Alive |
| 15 | JLSG-02 | RD | - | - | 48 | Alive |
| 16 | JLSG-02 | PD | CdA +Ara-C | - | 23 | Dead |
| 17 | JLSG-02 | PD | CdA | RD | 40 | Alive |
| 18 | IFRT | RD | - | - | 60 | Alive |
| 19 | MTX | SD | - | - | 32 | Alive |

L/F, loss of follow-up; JLSG-02, Japan Langerhans Study Group Regimen 2002; VCR, vincristine; PSL, prednisolone; IFRT, involved field radiation therapy; MTX, metotrexate; N/A, not applicable; RD, regressive disease; SD, stable disease; PD, progressive disease; HD Chemo, high dose chemotherapy; URCBT, unrelated cord blood transplantation; CdA, cladribine; Ara-C, cytarabine

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Figure legends

Figure 1 Morphologic and immunohistochemical findings in patient 15.

A The subcutaneous area is diffusely infiltrated by atypical cells (hematoxylin-eosin; original magnification, ×100).

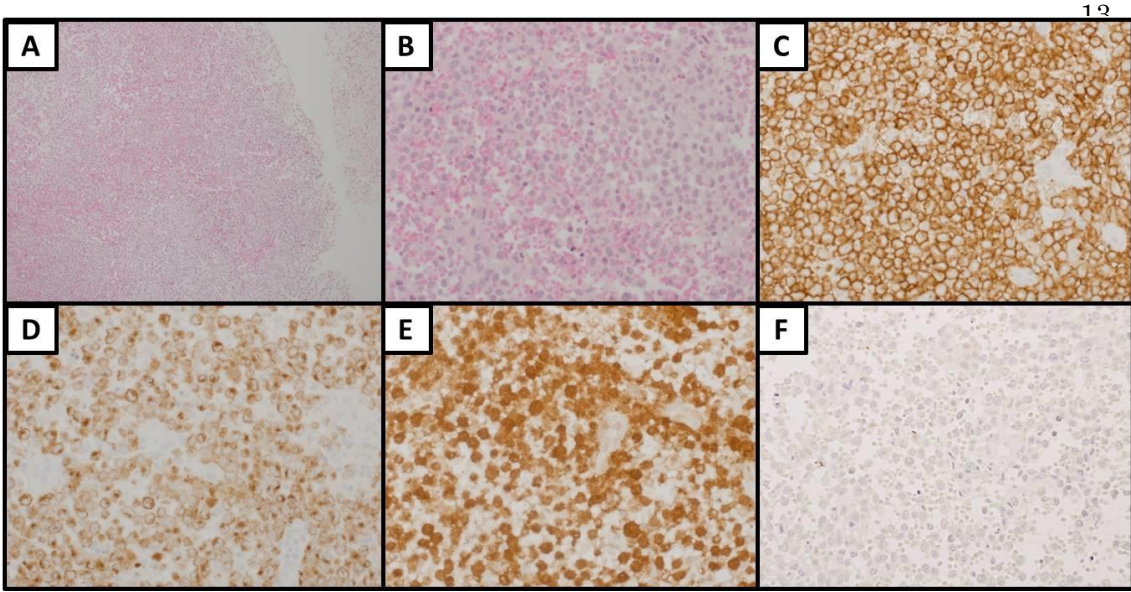
B The neoplastic cells with pale cytoplasm are medium to large in size (hematoxylin-eosin; original magnification, ×400).

C Atypical histiocytic cells are positive for CD1a (original magnification, ×400).

D Atypical histiocytic cells are positive for CD207 (langerin) (original magnification, ×400).

E Atypical histiocytic cells are positive for S100 (original magnification, ×400).

F Atypical histiocytic cells are negative for BRAFV600E (original magnification, ×400).



- 1 **Figure 2 Results of *BRAF* mutation analysis.**
- 2 Direct sequencing for exon 15 demonstrated 4 cases have GTG>GAG (valine>glutamic acid) base
- 3 substitution, which encodes BRAFV600E.
- 4

Sequence of BRAF (Exon 15) ---- Langerhans histiocytosis (19 cases)

