

1 **Long-term survival outcome for pre-capillary pulmonary hypertension at a**

2 **Japanese single center**

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16

1 **Abstract:** In recent years, several treatment options for patients with pre-capillary
2 pulmonary hypertension (PH) have improved the short-term prognosis. However, the
3 long-term survival for pre-capillary PH has not been well investigated. This study sought
4 to investigate the long-term survival for pre-capillary PH in Kurume University Hospital.
5 A total of 144 patients with pre-capillary PH (110 women, mean age; 55.1 ±17.9 years)
6 was enrolled. The maximal duration of follow-up was 15 years with a mean follow-up of
7 5.77 years. The 15-year survival was 59.1% for pre-capillary PH, 68.5 % for pulmonary
8 arterial hypertension (PAH) and 44.3 % for chronic thromboembolic PH. The 5-year
9 survival was 50.9% for PH due to lung disease (PH-LD) indicating the worst in the pre-
10 capillary PH subgroups. The survival for portopulmonary hypertension was the lowest
11 among PAH groups, and PAH associated with connective-tissue disease and congenital
12 heart disease became to decrease 10 years after diagnosis. Six-minute walk distance and
13 elevated brain natriuretic peptide were significantly associated with survival outcome in
14 pre-capillary PH patients, and that diastolic pulmonary arterial pressure was related with
15 survival for PH-LD. The survivals were different among pre-capillary PH groups in our
16 hospital. Above all, the long-term survival was better than previous reports.

17

1 **Introduction**

2 Pulmonary hypertension (PH) is a multifactorial disease characterized leading to
3 elevated pulmonary arterial pressure, right ventricular dysfunction, and ultimately heart
4 failure and death (Galiè et al. 2015a). The classification system of PH underlying
5 mechanisms contributes for understanding of pathophysiology and guiding treatment.
6 The classification has been identified 5 forms as follows: Group 1, pulmonary arterial
7 hypertension (PAH); group 2, PH associated with left heart disease (PH-LHD); group 3,
8 PH associated with lung disease (PH-LD); group 4, chronic thromboembolic pulmonary
9 hypertension (CTEPH); group 5, miscellaneous. Currently, there are several pulmonary
10 vasodilator agents with approval for the medical management of PAH, including
11 endothelin receptors antagonists, phosphodiesterase-5 (PDE-5) inhibitors, soluble
12 guanylate cyclase stimulator, and prostanoids. These agents yield hemodynamic,
13 functional, and outcome benefits for PAH patients. Also, pulmonary endarterectomy
14 (PEA) promises a long-term survival in patients with CTEPH. For patients with recurrent
15 or persistent PH after surgery and ineligible for PEA, medical treatment with soluble
16 guanylate cyclase stimulator and percutaneous balloon pulmonary angioplasty (BPA) is
17 beneficial.

1 Mortality associated with pre-capillary PH has decreased over the last 20 years due
2 to increased recognition of these conditions, accurate diagnosis, risk stratification, and
3 development of innovative treatment strategies (Barst et al. 1966; Galié et al. 2005; Galié
4 et al. 2008a; Galié et al. 2008b; Galié et al. 2009; Ghofrani et al. 2013; Hiremath et al.
5 2010; Pulido et al. 2013; Sitbon et al. 2015). In fact, ASPIRE (Hurdman et al. 2012),
6 French (Humbert et al. 2006), REVEAL (McGoon and Miller. 2012), and Japan PH
7 (Tamura et al. 2017) registries have demonstrated short-term survivals and activities of
8 daily living in patients with PH. However, there are limited cohorts comparing long-term
9 outcomes in patients with each pre-capillary PH subgroup. Therefore, we sought to
10 elucidate the long-term outcome and variables associated with survival in Japanese
11 patients with pre-capillary PH.

12

13 **Methods**

14 **Participants**

15 All consecutive patients with pre-capillary PH were retrospectively enrolled who
16 were evaluated by blood tests, electrocardiography, echocardiography, six-minute
17 walking test, and right heart catheterization (RHC) between January 1986 and December
18 2017 in Kurume University Hospital. Pre-capillary PH was defined as a mean pulmonary

1 artery pressure (mPAP) \geq 25mmHg, pulmonary artery wedge pressure \leq 15mmHg, and
2 pulmonary vascular resistance (PVR) \geq 3 Wood units by RHC at rest. Diagnostic
3 classification of PH was by standard criteria following by experienced cardiologists
4 (Galiè N et al. 2015a).

5

6 **Classification of pulmonary hypertension**

7 The classification of PH was determined by a detailed medical history, physical
8 examination, and standardized diagnostic approach for PH (Sanyal A.J. et al. 2008),
9 (ATS Committee on Proficiency Standards for Clinical Pulmonary Function
10 Laboratories. 2002). Pre-capillary PH associated with hereditary hemorrhagic
11 telangiectasia (HHT) was not categorized in heritable PAH (HPAH). Pre-capillary PH
12 after chemotherapy, which can cause pulmonary endothelial damage, for malignancies
13 were defined as drug-induced PAH (McLaughlin et al. 2009). LD was diagnosed by
14 pulmonologists based on detailed medical history, physical examination, and
15 standardized diagnostic approach including lung function test, arterial blood gas
16 analysis and high-resolution computed tomography (HRCT) (Galiè et al. 2015a). In
17 particular, pre-capillary PH with HRCT findings for LD and predicted forced expiratory
18 volume in 1-second (FEV_{1.0%}) $<$ 60% predicted and/or vital capacity (%VC) $<$ 70%

1 predicted was defined as PH-LD (Tanabe N et al. 2015). Therefore, if having LD, pre-
2 capillary PH associated with connective tissue disease (CTD) was excluded from CTD-
3 PAH. Also, combined pulmonary fibrosis and emphysema (CPFE) with pre-capillary
4 PH was categorized as PH-LD. CPFE was defined as UIP pattern with emphysema
5 (Cottin et al. 2005). Pre-capillary PH with portal hypertension based on hemodynamic
6 measure such as the gradient of free and wedged hepatic venous pressures $> 5\text{mmHg}$
7 was diagnosed as portopulmonary hypertension (PoPH) (Sanyal et al. 2008). CTEPH
8 was identified based on imaging findings of perfusion lung scintigraphy, multidetector
9 computed tomographic pulmonary angiography, and pulmonary angiography (Sanyal et
10 al. 2008), (ATS Committee on Proficiency Standards for Clinical Pulmonary Function
11 Laboratories. 2002).

12

13 **Data collection**

14 Clinical and hemodynamic data including age, gender, medications, medical history,
15 World Health Organization (WHO) functional class, laboratory findings, and RHC were
16 collected on the patients with pre-capillary PH at the initial diagnosis. Blood samples for
17 laboratory assays were drawn from the antecubital vein following overnight fasting in the
18 morning to determine uric acid, and brain natriuretic peptide (BNP) or N-terminal pro-

1 brain natriuretic peptide (NT-pro-BNP). $\text{BNP} \geq 300\text{pg/mL}$ or $\text{NT-pro-BNP} \geq 1400\text{pg/mL}$
2 was considered to be an elevated level as high-risk patients (Galiè et al. 2015a).
3 Ambulatory patients underwent a encouraged walk test using a standardized protocol
4 (ATS Committee on Proficiency Standards for Clinical Pulmonary Function Laboratories.
5 2002). Peripheral oxygen saturation (SpO_2) and heart rate were evaluated using a pulse
6 oximeter with a finger probe. SpO_2 , heart rate, blood pressure, and Borg dyspnea index
7 were recorded at the beginning and the end of the test. Patients were instructed to walk
8 as fast as possible for evaluation of total distance for 6 minutes. Hemodynamic variables
9 were measured with a Swan-Ganz catheter (Baxter Healthcare Corporation, Santa Ana,
10 CA, USA) in the recumbent position. Cardiac output (CO) was determined using the
11 thermodilution or Fick's method. Cardiac index (CI) was derived by normalization of CO
12 with the body surface area (BSA): $\text{CI} = \text{CO} / \text{BSA}$. PVR was calculated from the
13 transpulmonary gradient and CO: $\text{PVR} = 80 \times [\text{mPAP} - \text{PAWP}] / \text{CO}$. WHO functional
14 class and exercise capacity using the 6-minute walking test were assessed closest to the
15 date of RHC. Examinations were conducted according to physical status in patients with
16 pre-capillary PH.

17

18 **PH therapies**

1 Therapeutic data such as PH-targeted drugs including prostacyclin and its
2 analogues (beraprost, iloprost, epoprostenol, treprostinil), selective prostacyclin IP
3 receptor agonist (selexipag), phosphodiesterase type 5 inhibitors (sildenafil, tadalafil),
4 soluble guanylate cyclase stimulator (riociguat), and endothelin receptor antagonists
5 (bosentan, ambrisentan, macitentan) and immunosuppressive agents, anti-fibrotic agent,
6 oxygen therapy in patients with pre-capillary PH were collected at the last visit to our
7 hospital. Upfront combination therapy was defined as receiving multiple types of PH-
8 targeted drugs within 2 weeks without any hemodynamic evaluations by
9 echocardiography or right heart catheterization.

10

11 **Outcome measures**

12 Endpoint for survival outcome included lung transplantation and all-cause of death.
13 Survival status of the pre-capillary PH patients was ascertained by medical records and
14 telephone interviews. The survival period was calculated from the day of first visit at our
15 hospital to the endpoint or December in 2017. A Cox proportional hazards regression
16 analysis with adjustment for age and gender was employed to obtain the variables
17 associated with survival outcome.

18

1 **Statistical analysis**

2 Data were presented as mean \pm standard deviation or medians with the interquartile
3 range. Event-free survival from date of diagnosis was estimated using the Kaplan-Meier
4 method with the comparison between groups performed by the log-rank test. The
5 relationship between survival and selected variables was analyzed with the Cox
6 proportional hazards model for all-cause mortality. The hazard ratios and 95% confidence
7 intervals were defined. Values of $p < 0.05$ were considered to indicate statistical
8 significance. All statistical analyses were performed with the use of the SPSS system
9 (IBM, Chicago, IL, USA).

10

11 **Results**

12 **Patient characteristics**

13 A total of 144 patients with pre-capillary PH (110 females; mean age
14 55.1 ± 17.9 years) was enrolled in this study. Clinical characteristics of our patients are
15 presented in Table 1. There were 85 (59.0%) patients with PAH, 20 (13.9%) with PH-
16 LD, 37 (25.7%) with CTEPH, and 2 (0.01%) with PH with unclear multifactorial
17 mechanisms. At the time of first visit, 105 (72.9%) patients did not take any PAH-
18 targeted drug. Forty-four patients were in WHO functional class I or II, 96 in class III or

1 IV, and 4 were unknown. PAP, CI, PVR, uric acid, and 6-minute walk distance
2 (6MWD) were 42.8 ± 14.8 mmHg, 2.55 L/min/m² (1.26 - 8.37 L/min/m²), 9.33 ± 5.44
3 Wood units, 6.12 mg/dL (1.73 - 10.78 mg/dL), and 352.0 m (70.0 - 650.0 m), respectively
4 (Table 1). Plasma levels of BNP or NT-pro-BNP were elevated in 41 (31.1%) of 132
5 patients. During the follow-up period, 118 (81.9%) patients were receiving PAH-
6 targeted drugs. Of these, approximately 60% of patients had combination therapy.
7 During the follow-up period, 118 (81.9%) patients were receiving PAH-targeted drugs.
8 Of these, approximately 60% of patients had combination therapy. About 8% of patients
9 received upfront combination therapy after 2008 when it was commercially available to
10 use 3 types of PH-targeted drugs in Japan. Also, 44.4% of pre-capillary PH patients
11 underwent home oxygen therapy. All I/HPAH patients received PH-targeted drugs, and
12 more than 70% of other PH patients except for PoPH were treated with the drugs. Half
13 of PH-LD patients received PDE-5 inhibitors, and approximately 40% of CTEPH
14 patients had riociguat (Table 3). Following for 0.08-15.0 years with a mean of 5.77
15 years, 31 patients died and no patients underwent lung transplantation. Thirty-one
16 precapillary-PH patients died during the follow-up period. Of these, 24 patients died
17 related to PH; 16 patients with right heart failure, 5 with alveolar hemorrhage, 2 with
18 respiratory failure, and one with sudden unexpected death. On the other, 5 patients met

1 cancer-related death and 2 died from stroke. The 1-, 3-, 5-, 10-, and 15-year survivals
2 for overall pre-capillary PH were 95.0%, 86.3%, 82.1%, 73.4%, and 59.1%,
3 respectively (Fig. 1). The survival outcome for PH-LD was less than PAH and CTEPH
4 (Fig. 2). There was no significant difference in the survival between PAH subgroups
5 (Fig. 3). Cox proportional hazards regression analysis after adjustment for age and
6 gender revealed that 6MWD ($p=0.001$) and elevated NT-pro-BNP/BNP ($p=0.002$) were
7 significantly correlated with survival outcome in patients with pre-capillary PH (Table
8 2).

9

10 **Pulmonary arterial hypertension (Group 1)**

11 Of 85 patients with PAH, 24 (16.7%) patients were diagnosed as idiopathic
12 PAH/HPAH and one as HHT-PAH. There were 22 (15.3%) patients with CTD-PAH, 28
13 (19.4%) with congenital heart disease-PAH (CHD-PAH), and 9 (6.3%) with PoPH (Table
14 2). There were 26 patients in functional class I or II, 57 patients in class III or IV, and 2
15 were unknown. During the follow-up period, 73 (85.9%) patients were receiving PAH-
16 targeted drugs. Of these, 80.8% of the patients had combination therapy. The 1-, 3-, 5-,
17 10-, and 15-year survivals for PAH were 98.8%, 91.9%, 88.3%, 82.8%, and 68.5%,
18 respectively (Fig. 2). The survival rate in PAH patients was much better than previous

1 studies (Hurdman et al. 2012; McGoon and Miller. 2012). Also, the 10-year survival for
2 PAH was similar to CTEPH ($p=0.200$) (Fig. 2), which was different with a previous study
3 (Hurdman et al. 2012).

4

5 **Idiopathic and heritable pulmonary arterial hypertension**

6 In patients with IPAH/HPAH, the mean age at diagnosis was 44.0 ± 18.7 years with
7 a female predominance of 75.0%. At the time of first visit, 9 of 24 patients presented
8 WHO functional class I or II symptom and the other 15 in class III or IV.

9 Approximately, 40% of the patients showed elevated levels of BNP or NT-pro-BNP.

10 PAP, CI, PVR, uric acid, and 6MWD were 49.5 ± 14.1 mmHg, 2.29 L/min/m² (1.53-4.12
11 L/min/m²), 12.23 ± 5.86 Wood units, 7.00 mg/dL (3.59-10.78 mg/dL), and 402.3 m
12 (179.7-639.0 m), respectively.

13 Following for 0.83-13.80 years with a mean of 5.34 years, all patients received
14 combination therapy with PAH-targeted drugs. Following for 0.83-13.80 years with a
15 mean of 5.34 years, all patients received combination therapy with PAH-targeted drugs.
16 Following for 0.83-13.80 years with a mean of 5.34 years, all patients received
17 combination therapy with PAH-targeted drugs. During the follow-up period, 3 patients
18 died from heart failure and alveolar hemorrhage in the 2000s, and one met cancer-

1 related death in the 2010s. The 1-, 3-, 5-, and 10-year survivals for IPAH/HPAH were
2 100.0%, 95.5%, 84.4%, and 72.3%, respectively (Fig. 3). Cox proportional hazards
3 regression analysis after adjustment for age and gender showed that there was no
4 significant variable related with survival, although P-value of mean PAP was 0.05
5 (Table 4). Typical IPAH patients diagnosed after 2008 were alive with benefit of PAH-
6 targeted drugs for 3 pathways (Supplementary fig. 1A). The survival for typical IPAH
7 was dependent on the initiation date of treatment.

8

9 **Pulmonary arterial hypertension associated with connective tissue disease**

10 CTD-PAH group consisted of 8 with systemic lupus erythematosus or mixed
11 connective tissue disease, 3 with Sjogren syndrome, 7 with systemic scleroderma (SSc)
12 and 4 with others. At diagnosis of CTD-PAH, the mean age of patients was 52.3 ± 16.8
13 years and female predominance was observed (95.5%). There were 5 patients in
14 functional class I or II and 17 patients in class III or IV. Eight (38.1%) patients showed
15 high BNP/NT-pro-BNP levels. PAP, CI, PVR, uric acid, and 6MWD were 37.7 ± 10.2
16 mmHg, 2.36 L/min/m^2 ($1.71\text{-}4.33 \text{ L/min/m}^2$), 8.66 ± 4.45 Wood units, 6.18 mg/dL (2.96-
17 8.98 mg/dL), and 244.3 m ($70.0\text{-}581.0 \text{ m}$), respectively (Table 2). Following for 0.17-
18 15.00 years with a mean of 5.33 years, 5 of the patients received monotherapy and 16

1 with combination therapy. In CTD-PAH, 4 patients died during the follow-up period; 2
2 patients with heart failure, one with respiratory failure, and one with stroke. The 1-, 3-,
3 5-, 10-, and 15-year survivals for CTD-PAH were 100.0%, 95.0%, 89.7%, 89.7%, and
4 44.9%, respectively (Fig. 3). The survival rates except for SSc decreased over 10 years
5 after first visit (Supplementary fig.2). On the other, the survival rate in SSc patients
6 declined in early period (Supplementary figure 2). In Cox proportional hazards
7 regression analysis, P-value of CI was 0.05, but no variable indicated statistical
8 significance for survival outcome. (Table 4).

9

10 **Drug-induced pulmonary arterial hypertension**

11 In the study, there was one drug-induced PAH patient treated with dasatinib for
12 chronic myelogenous leukemia. The patient is receiving oral combination therapy and
13 alive after cessation of dasatinib with follow-up period of 9 months.

14

15 **Pulmonary arterial hypertension associated with congenital heart disease**

16 There were 23 (82.1%) patients with simple CHD such as atrial septal defect
17 and ventricular septal defect, and the 5 others with repaired or unrepaired patients with
18 moderate to severe CHD. At the first visit to our hospital, mean age was 45.4 ± 17.0

1 years with 19 females (67.9%). At the time of diagnosis, 8 patients were in WHO
2 functional class I or II, 18 in class III or IV, and 2 were unknown. Plasma levels of
3 BNP/NT-pro-BNP were high in 6 (27.3%) of 22 patients. PAP, CI, PVR, uric acid, and
4 6MWD were 52.5 ± 21.6 mmHg, 3.48 L/min/m² (1.70-7.55 L/min/m²), 9.33 ± 5.81 Wood
5 units, 6.15 mg/dL (2.71-8.42 mg/dL), and 369.0 m (160.0-508.2 m), respectively (Table
6 2). Over a mean follow-up of 10.51 years (range 0.17-15.00 years), 82.1% of the
7 patients received monotherapy or combination therapy. During the follow-up period, 4
8 patients with CHD-PAH died; 2 patients with heart failure and one with alveolar
9 hemorrhage. Also, one patient met cancer-related death. The 1-, 3-, 5-, 10-, and 15-year
10 survivals for CHD-PAH were 96.4%, 96.4%, 92.2%, 92.2%, and 81.4%, respectively
11 (Figure 3). No variable was observed to be significant for survival outcome.

12

13 **Portopulmonary hypertension**

14 In this study, autoimmune hepatitis, chronic hepatitis C, Immunoglobulin G-4
15 related disease, portal vein hypoplasia, multiple liver cysts, and peritoneal arteriovenous
16 malformation were underlying conditions of PoPH. The mean age at diagnosis was
17 58.4 ± 14.4 years without a female predominance. At the time of diagnosis, 3 patients
18 presented class I or II symptom and 6 class III or IV symptom. PAP, CI, PVR, uric acid,

1 and 6MWD were 37.8 ± 5.3 mmHg, 3.76 L/min/m² (2.50 - 8.37 L/min/m²), 5.20 ± 2.07
2 Wood units, 5.13 mg/dL (1.73 - 9.67 mg/dL), and 376.6 m (323.0 - 650.0 m), respectively
3 (Table 2). During a mean follow-up of 3.45 years (range 0.42 - 12.17 years), 4 (44.4%) of
4 PoPH patients received monotherapy or combination therapy with PAH-targeted drugs.
5 Two patients with PoPH died from heart failure and cancer during the follow-up period.
6 The 1-, 3-, 5-, 10-year survivals for PoPH were 100.0% , 75.0% , 75.0% , and 37.5% ,
7 respectively (Figure 3). There was no variable indicating statistical significance for
8 survival outcome.

9

10 **Pulmonary hypertension associated with lung disease (Group 3)**

11 In patient with PH-LD, the mean age at diagnosis was 65.2 ± 14.6 years and a female
12 preponderance did not exist. At the time of diagnosis, majority of PH-LD patients had
13 class II or III symptoms. Five (27.8%) of the patients showed elevated BNP/NT-pro-
14 BNP levels. PAP, CI, PVR, uric acid, and 6MWD were 32.5 ± 7.7 mmHg, 2.86 L/min/m²
15 (1.82 - 4.39 L/min/m²), 5.96 ± 3.08 Wood units, 4.72 mg/dL (2.19 - 7.95 mg/dL), and 239.0
16 m (130.0 - 514.0 m), respectively (Table 1). There were 14 patients treated by PAH
17 targeted drugs, 5 patients treated by anti-fibrotic agents, and 12 patients treated by home
18 oxygen therapy in PH-LD in our study. Especially, all severe PH-LD received PAH

1 targeted drugs. Following for 0.08-6.50 years with a mean of 2.39 years, 8 patients died.
2 Four patients died from heart failure, 1 from respiratory failure, 1 from alveolar
3 hemorrhage, 1 from cancer, and 1 from stroke. The 1-, 3-, 5-year survivals for PH-LD
4 were 66.0%, 59.4%, and 50.9%, respectively (Figure 2). Survival in PH-LD patients
5 with $mPAP \geq 35$ mmHg was inferior to those with $mPAP < 35$ mmHg (**Supplementary**
6 **figure 3**). Diastolic PAP was significantly correlated with survival outcome ($p=0.045$)
7 (Table 4).

8 There were 5 PH-LD patients with $mPAP \geq 35$ mmHg which composed of 3 PH-ILD
9 patients and 2 PH-CPFE patients in our study. Also, there were 15 PH-LD patients with
10 $mPAP < 35$ mmHg which composed of 4 COPD patients, 9 PH-ILD patients and 2 PH-
11 CPFE patients. PVR and NT-pro-BNP/BNP were significantly different between the 2
12 subgroups. All PH-LD patients with $mPAP \geq 35$ mmHg received PH-targeted drugs. In
13 those patients, 2 patients with $mPAP \geq 35$ mmHg have combination therapy. Four patients
14 received PDE5-inhibitors, and one patient an endothelin receptor antagonist. On the other
15 hand, 9 PH-LD patients with $mPAP < 35$ mmHg received PH-targeted drugs. Three
16 patients with $mPAP < 35$ mmHg have combination therapy. Six patients received PDE5-
17 inhibitors, 2 patients riociguat, and 5 patients endothelin receptor antagonists.

1 There were 4 PH-COPD, 12 PH-ILD, and 4 PH-CPFE patients in the PH-LD
2 group. Two PH-COPD, 4 PH-ILD, and 2 PH-CPFE patients died during the follow-up
3 period. In PH-COPD group, one died from heart failure, and another from cancer-
4 related event. Each 4 patients with PH-ILD died from heart failure, respiratory failure,
5 alveolar hemorrhage, and stroke. Both patients with PH-CPFE died from heart failure.
6 The 1-, 3-, and 5-year survivals for PH-COPD were 100.0%, 66.7%, and 33.3%,
7 respectively. The 1-, 3-, and 5-year survivals for PH-ILD were 59.5%, 59.5%, and
8 59.5%, respectively. The 1-, 3-, and 5-year survivals for PH-CPFE were 50.0%, 50.0%,
9 and 50.0%, respectively. The survival for PH-ILD and PH-CPFE deteriorated within
10 one year after the first visit at our hospital, although the survival for PH-COPD after 2
11 years. Furthermore, there was no significant difference for survival outcome among PH-
12 COPD, PH-ILD, and PH-CPFE. No variable was observed to be significant for survival
13 outcomes in each subgroup of PH-LD, even though mPAP \geq 35mmHg and diastolic
14 PAP were significant variables for survival in PH-LD.

15

16 **Chronic thromboembolic pulmonary hypertension (Group 4)**

17 In patients with CTEPH, the mean age was 65.5 \pm 12.6 years and female predominance
18 was observed (94.6%). At the time of diagnosis, 14 patients were in class I or II and 23

1 in class III or IV. PAP, CI, PVR, uric acid, and 6MWD were 42.3 ± 11.0 mmHg, 2.16
2 L/min/m² (1.26-5.10 L/min/m²), 10.84 ± 5.70 Wood units, 6.09 mg/dL (3.39-10.02
3 mg/dL), and 368.0 m (137.0-600.0 m), respectively (Table 1). Eight patients with
4 CTEPH died during the follow-up period. There were 5 patients died from heart failure,
5 1 patient from death, and 2 from alveolar hemorrhage. In the CTEPH group, patients
6 underwent PEA had an excellent long-term outcome (Supplementary fig. 4). In our
7 hospital, BPA was officially initiated for ineligible patients for PEA from 2013, and
8 was performed for 15 patients. Although one patient died due to hemoptysis 1 year after
9 BPA procedure, the survival was ameliorated by BPA after 2013 (Supplementary fig.
10 5). Over a mean follow-up of 5.48 years (range 0.42-15.00 years), the 1-, 3-, 5-, 10, and
11 15-year survivals for CTEPH were 100.0%, 88.6%, 84.2%, 66.5%, and 44.3%,
12 respectively (Fig. 2). Cox proportional hazards regression analysis indicated no
13 significant variable correlated with survival outcome.

14

15 **Pulmonary hypertension with unclear multifactorial mechanisms (Group 5)**

16 There were only 2 pre-capillary PH patients with unclear multifactorial mechanisms
17 (group 5) in this study. One patient with pulmonary tumor thrombotic microangiopathy

1 met cancer-related death., and the other with pulmonary lymphangiomyomatosis is
2 alive without any PAH-targeted drug.

3

4 **Discussion**

5 In the present study, we report long-term survivals and variables related with the
6 survival outcome in patients with pre-capillary PH. The novel findings of our study were
7 [1] the much better the survival than 1900s era in PAH, especially IPAH/HPAH, [2] the
8 poor prognosis in PH-LD group, and [3] the importance of PEA and BPA strategies for
9 survival outcome in CTEPH.

10 In our study, the 15-year survival in pre-capillary PH was 59.1%. There were 96
11 (68.6%) patients in WHO functional class III or IV at the first visit to our hospital. This
12 study demonstrated that the survival outcomes of each PH group were quite different
13 and that the survival outcome of PAH, especially I/HPAH was better than a previous
14 study (Hurdman et al. 2012). PH-targeted drugs might support the survival of PAH,
15 because 85.7% of PAH patients received PH-targeted drugs in this study. Above all,
16 patients with PH-LD and PoPH had poor prognosis (Figure 2, 3). In those patients,
17 70.0% of PH-LD patients and 44.4% of PoPH patients received PH-targeted drugs.
18 Since it was difficult to use PH-targeted drugs for patients with PH-LD and PoPH due

1 to side effects and drug metabolisms, other therapeutic strategy was needed to improve
2 the survival outcome of them. Early diagnosis and appropriate treatment such as
3 combination therapy may be crucial to show much better long-term prognosis for
4 precapillary PH (Humbert et al. 2011). Also, novel treatment is needed especially for
5 patients with PoPH, PH-LD, and CTD-PAH due to SSc.

6 In the present study, a long-term survival outcome in IPAH/HPAH patients was
7 superior to a previous study (Hurdman et al. 2012). Notably, only 4 of 24 patients with
8 IPAH/HPAH died during the follow-up period. Especially, IPAH/HPAH patients
9 diagnosed in the 2010s have gotten favorable outcomes (Supplementary fig. 1A).
10 Actually, pulmonary hemodynamics were changed for the better by the 3-pathway drugs
11 in patients with IPAH/HPAH (Table 3). The reason might be owing to amelioration of
12 pulmonary hemodynamics by 3 pathway PAH-targeted drugs from the early stage.
13 Recently, Japan PH registry has demonstrated an excellent outcome of short-term
14 survival (3-year survival rate of 90.4%) in 105 patients with IPAH/HPAH treated by a
15 number of PAH-targeted drugs (Tamura et al. 2017). A favorable long-term survival will
16 be obtained in the Japan PH registry. In recent years, atypical type of IPAH has been
17 increasing. When patients older than 65 years and having comorbidities with left heart
18 disease and/or LD were defined as atypical IPAH (Tamura et al. 2017; Hurdman et al.

1 2012), 6 (25.0%) patients were ascertained as atypical IPAH in our study. Although it is
2 difficult to treat for patients with atypical IPAH, the survival was comparable between
3 typical and atypical types of IPAH in our study (Supplementary fig. 1).

4 In CTD-PAH group, 17 (77.3%) patients underwent medical treatments with PAH-
5 targeted drugs and immunosuppressive agents, and 4 (18.2%) patients died during the
6 follow-up period. The survival was better than a previous study (Chung L et al. 2010).
7 Especially in patients with CTD-PAH except for SSc, intensive anti-inflammatory
8 therapy may ameliorate pulmonary hemodynamics and the survival (Miyamachi-
9 Yamamoto et al. 2011). However, the survival rate in CTD-PAH patients except for SSc
10 deteriorated 10 years after the first visit at our hospital (Supplementary fig. 2). On the
11 other, the survival for PAH associated with SSc declined 3 years after the first visit at our
12 hospital (Supplementary fig. 2). Although we cannot explain the reason, we might have
13 to initiate PAH-targeted drugs for PAH patients with SSc as soon as possible after
14 diagnosis. Also, novel therapies are needed to show favorable the long-term survival for
15 PAH associated with SSc.

16 PH-LD was known as a disease with poor prognosis (Hurdman et al. 2012.).
17 Especially, the survival for severe PH-LD, defined as mPAP \geq 35 mmHg (Galiè et al.
18 2015a) and pulmonary vascular lesions, was worse than mild to moderate PH-LD.

1 Therefore, PAH-targeted drugs including PDE-5 inhibitors may improve the survival of
2 patients with severe PH-LD as previously reported (Tanabe et al. 2015). However, severe
3 PH-LD had poor survival rate despite receiving PDE-5 inhibitors in our study. Survival
4 in patients with PH-LD was independent on subgroup such as chronic obstructive
5 pulmonary disease, interstitial pneumonia, and CPFE. The effects of PAH-targeted drugs
6 might be insufficient in some patients with severe PH-LD. PEA brought better survival
7 in CTEPH patients compared to those without PEA (Supplementary figure 4). Also, BPA
8 was one of the helpful treatment strategies for ineligible CTEPH patients for PEA,
9 because the mortality of CTEPH patients treated by BPA was 6.7% during 5 years in our
10 study (Supplementary figure 5). Furthermore, there was a significant difference of
11 survival between patients underwent BPA or PEA and those without underwent BPA and
12 PEA in our study ($p=0.042$). BPA is a pivotal therapeutic strategy for survival in patients
13 with CTEPH as well as PEA.

14 A multicenter cohort in Japan has demonstrated that BPA dramatically improved
15 pulmonary hemodynamics and the survival for CTEPH (Ogawa et al. 2017). Recent
16 guidelines (Galiè et al. 2015a) and the 5th World symposium on Pulmonary
17 Hypertension (Kim NH et al. 2019) recommended BPA to ineligible CTEPH patients

1 for PEA. BPA to ineligible CTEPH patients for PEA is expected to spread all over the
2 world.

3 6MWD has been shown to be a predictor for short-term survival in PAH (Galiè et al.
4 2015a). In the present study, these variables were associated with long-term survival
5 outcome. Also, diastolic PAP, but not mean PAP was correlated with the survival in
6 patients with PH-LD. Diastolic PAP has not been recognized as a survival predictor.
7 Further longitudinal study with large-scale patients is needed to clarify whether these
8 variables could be predictors for the long-term survival in patients with pre-capillary PH.

9

10 **Limitations**

11 Significant limitations should be mentioned in this study. First, this study was a single-
12 center retrospective study. Therefore, possibility of selection bias and survivor bias
13 could not be avoided. Our cohort involved 25.7% of prevalent cases, which might be a
14 reason why survival could be better than previous reports (Hurdman et al. 2012),
15 (McGoon M.D and Miller D.P. 2012). Also, the small number of patients may have
16 limited the results of the present study. Second, RV function is an important parameter
17 for survival in pre-capillary PH. However, the follow-up period of our study was too
18 long to collect all data of the parameters of RV function. Third, it was difficult to

1 differentiate the subgroups of PH, especially in CTD. Patients with CTD have some
2 types of PH such as PAH, PH-LD, and CTEPH (Galiè et al. 2015a). In the present
3 study, the category in such patients was changed after first visit. Fourth, we did not
4 evaluate the follow-up data for survival outcomes. A multi-center prospective study
5 with serial evaluations is needed to confirm the follow-up variables for long-term
6 survival.

7

8 In conclusion, long-term survival outcome for pre-capillary PH was better than
9 previous studies. However, PH-LD showed poor prognosis as same as previous studies.
10 Therefore, novel therapeutic strategy is required for improvement of survival especially
11 in PH-LD.

12

13 **Conflict of interest**

14 The authors declare that there is no conflict of interest associated with this work.

15

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4

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10

11

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10

- 1 **Figure legend**
- 2 **Fig. 1. Survival for pre-capillary PH**
- 3
- 4 **Fig. 2. Survivals for pre-capillary PH subgroups**
- 5 Abbreviations as in Table 1
- 6
- 7 **Fig. 3. Survivals for PAH subgroups**
- 8 Abbreviations as in Table 1 and 2.
- 9
- 10 **Supplementary fig. 1.**
- 11 **A: Survival for IPAH diagnosed after 2008**
- 12 **B: Survival for IPAH during all period**
- 13 Abbreviations as in Table 1 and 2.
- 14
- 15 **Supplementary fig. 2. Survival for CTD-PAH**
- 16 Other abbreviations as in Table 1 and 2.
- 17
- 18 **Supplementary fig. 3. Survival for PH-LD**

1 Survival rate in PH-LD patients with $< 35\text{mmHg}$ was significantly better than with
2 $\geq 35\text{mmHg}$ ($p=0.033$).

3 Abbreviations as in Table 1

4

5 **Supplementary fig. 4. Survival for CTEPH**

6 Survival rate of CTEPH patients with PEA was significantly better than without PEA
7 ($p=0.020$).

8 PEA, pulmonary endarterectomy. Other abbreviations as in Table 1.

9

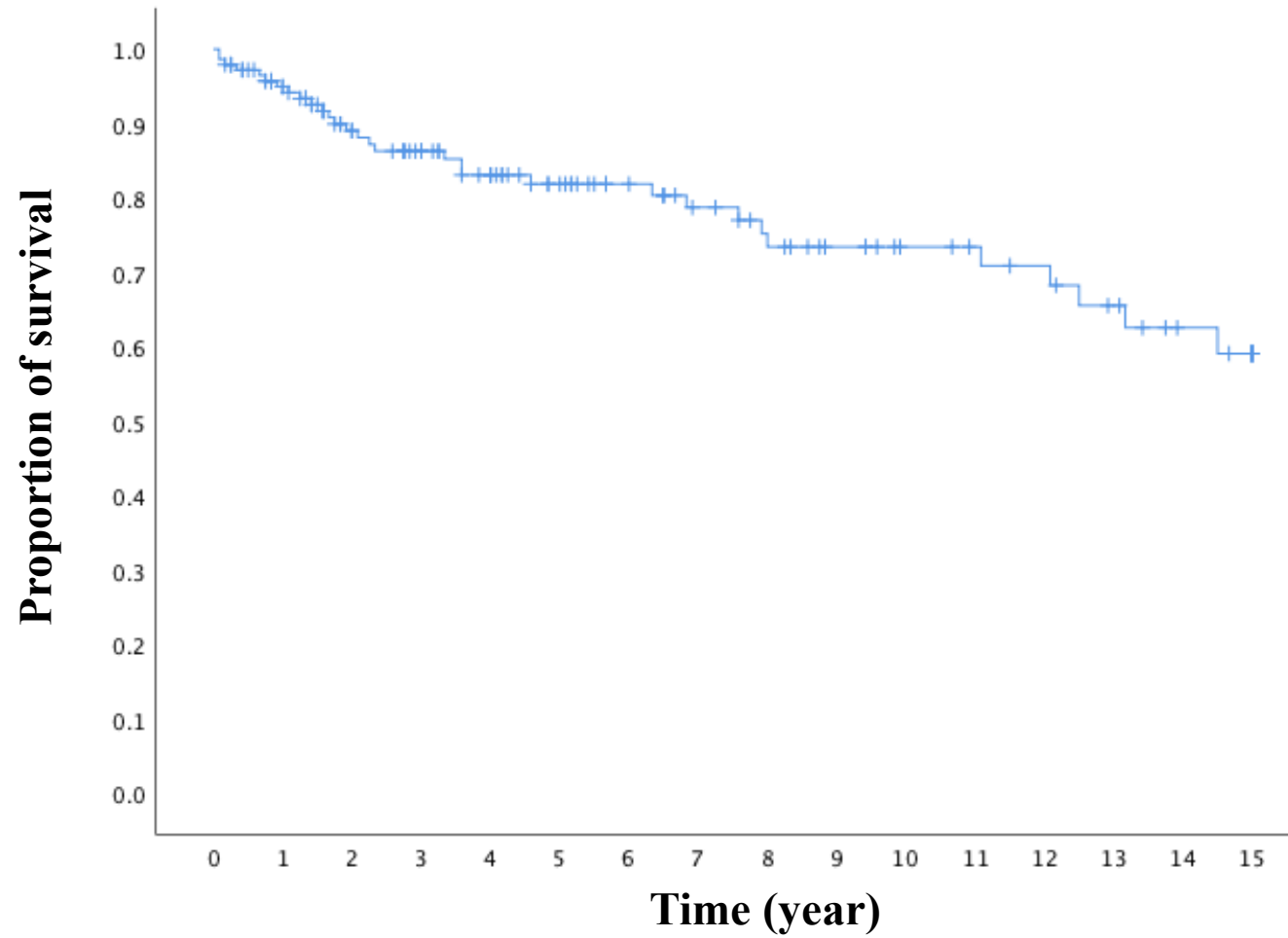
10 **Supplementary fig. 5. Survival for CTEPH treated by BPA after 2013**

11 BPA, balloon pulmonary angioplasty; PEA, pulmonary endarterectomy. Other
12 abbreviations as in Table 1

13

Fig. 1.

Survival for pre-capillary pulmonary hypertension

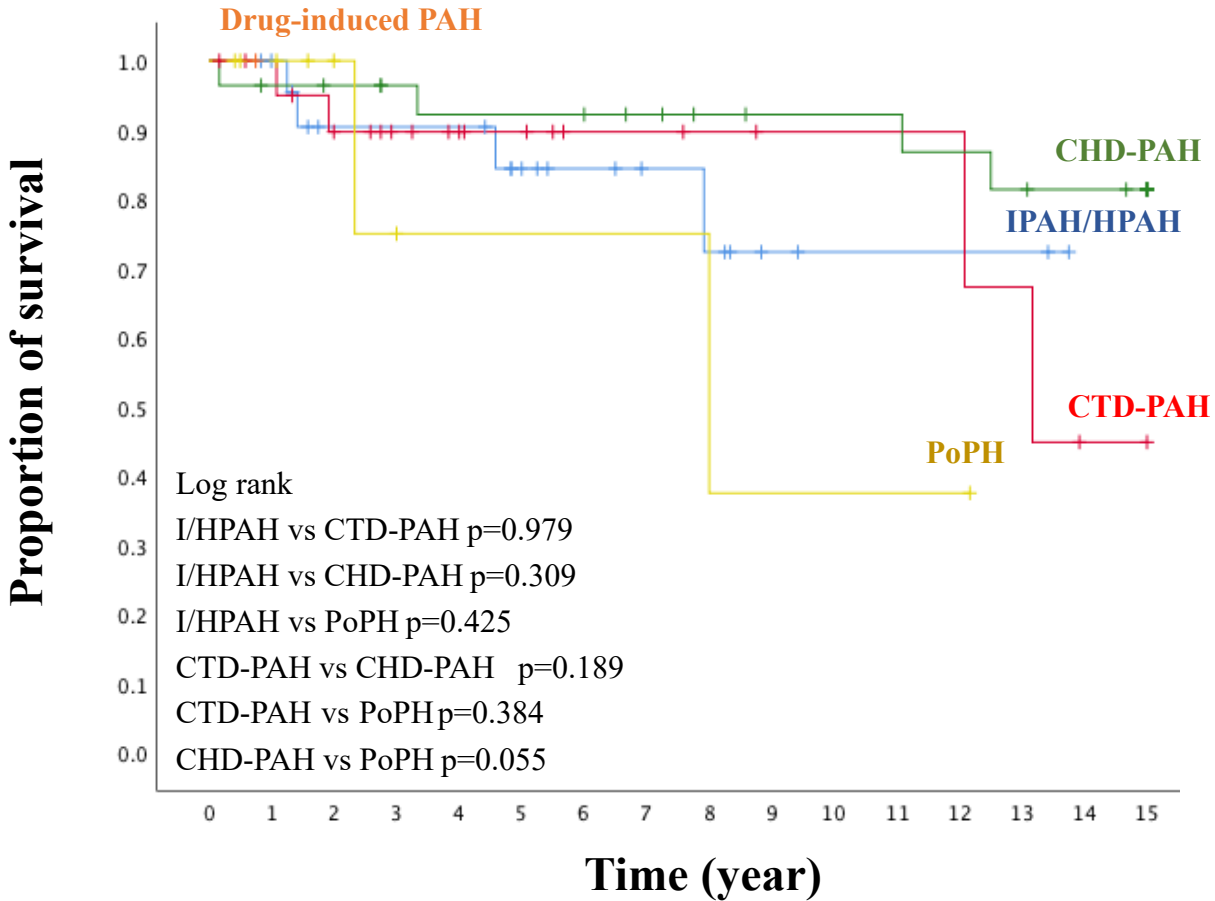


Patients at risk

144 121 97 86 75 63 54 47 41 36 31 29 27 23 18 15

Fig. 3.

Survivals for PAH subgroups



Patients at risk

IPAH/HPAH	24	22	16	16	16	11	9	5	5	3	2	2	2		
CTD-PAH	22	20	16	13	10	9	6	6	5	4	4	4	4	3	1
CHD-PAH	28	26	25	25	23	23	23	20	18	17	17	17	16	15	14
Drug-induced	1														
PoPH	9	7	4	2	2	2	2	2	2	1	1	1	1	1	

Table 1.**Baseline characteristics in patients with pre-capillary pulmonary hypertension**

Variables	Pre-capillary PH (Overall)	PAH	PH-LD	CTEPH	PH with unclear mechanism
Subjects, n (%)	144 (100%)	85 (59.0%)	20 (13.9%)	37 (25.7%)	2 (0.01%)
Follow-up period (range), year	5.77 (0.08-15.00)	6.77 (0.17-15.00)	2.39 (0.08-6.50)	5.48 (0.42-15.00)	2.50 (2.25-2.75)
Age, n	55.1 ± 17.9, 144	48.0 ± 17.5, 85	65.2 ± 14.6, 20	65.5 ± 12.6, 37	58.5 ± 6.4, 2
Female, n (%)	110 (76.4%)	63 (74.1%)	11 (55.0%)	35 (94.6%)	2 (100%)
WHO-FC I/II/III/IV (n)	4/40/88/8 (140)	3/23/52/5 (83)	1/2/14/1 (18)	0/14/21/2 (37)	0/1/1/0 (2)
mAoP, mmHg, n	87.9 ± 13.9, 134	87.3 ± 14.8, 80	87.0 ± 13.5, 18	89.6 ± 12.8, 34	91.0 ± 5.7, 2
Heart rate, beats per minute, n	74.8 ± 12.2, 129	75.1 ± 13.0, 75	75.9 ± 11.7, 18	73.9 ± 11.4, 34	71 ± 1.4, 2
PAWP*, mmHg, n	9.0 (2.0-19.0), 137	8.0 (3.0-19.0), 81	9.5 (5.0-14.0), 18	8.5 (2.0-16.0), 35	7.5 (4.0-11.0), 2
SvO ₂ , %, n	63.4 ± 9.5, 127	64.6 ± 9.5, 77	64.1 ± 7.8, 18	60.0 ± 10.0, 30	63.9 ± 0.07, 2
sPAP, mmHg, n	68.5 ± 22.9, 139	70.5 ± 24.2, 83	52.7 ± 16.2, 18	72.7 ± 19.8, 36	50.0 ± 2.8, 2
dPAP, mmHg, n	27.8 ± 11.6, 139	30.8 ± 13.0, 83	20.9 ± 4.8, 18	24.9 ± 7.9, 36	18.5 ± 2.1, 2
mPAP, mmHg, n	42.8 ± 15.0, 139	45.5 ± 16.7, 83	32.5 ± 7.7, 18	42.3 ± 11.0, 36	30.0 ± 2.8, 2
PVR, wood unit, n	9.33 ± 5.44, 138	9.47 ± 5.51, 82	5.96 ± 3.08, 18	10.84 ± 5.70, 36	7.00 ± 1.34, 2
CI*, L/min/m ² , n	2.55 (1.26-8.37), 138	2.70 (1.53-8.37), 82	2.86 (1.82-4.39), 18	2.16 (1.26-5.10), 36	2.51 (1.88-3.13), 2
RAP*, mmHg, n	6.0 (1-23), 136	6.0 (1.0-23.0), 80	5.0 (2.0-11.0), 18	5.5 (1.0-13.0), 34	3.5 (2.0-5.0), 2
6MWD*, m, n	352.0 (70-650), 120	352.0 (70.0-650.0), 68	239.0 (130.0-514.0), 16	368.0 (137.0-600.0), 32	279.0 (131.0-426.9), 2
Elevated BNP/NT-pro-BNP, n (%), n	41 (31.1%), 132	24 (31.2%), 77	5 (27.8%), 18	12 (34.3%), 35	0 (0.0%), 2
Uric acid*, mg/dL, n	6.12 (1.73-10.78), 132	6.27 (1.73-10.78), 61	4.72 (2.19-7.95), 18	6.09 (3.39-10.02), 34	4.30 (3.91-4.69), 2

Values are number (%), mean ± SD, or * median (interquartile range).

n, number; PH, pulmonary hypertension; PAH, pulmonary arterial hypertension; PH-LD; pulmonary hypertension due to lung disease; CTEPH, chronic thromboembolic pulmonary hypertension; 5th, PH with unclear multifactorial mechanisms; WHO-FC, World Health Organization (WHO) functional class; mAoP, mean aortic pressure; PAWP, pulmonary artery wedge pressure; SvO₂, mixed venous oxygen saturation; sPAP, systolic pulmonary arterial pressure; dPAP, diastolic pulmonary arterial pressure; mPAP, mean pulmonary arterial pressure; PVR, pulmonary vascular resistance; CI, cardiac index; RAP, right arterial pressure; 6MWD, 6-minute walk distance; BNP, brain natriuretic peptide; NT-pro-BNP, N-terminal pro-brain natriuretic peptide.

Table 2.**Baseline characteristics in patients with pulmonary arterial hypertension**

Variables	IPAH/HPAH	CTD-PAH	CHD-PAH	PoPH
Subjects, n (%)	24 (16.7%)	22 (15.3%)	28 (19.4%)	9 (6.3%)
Follow-up period, year (min-max)	5.34 (0.83-13.80)	5.33 (0.17-15.00)	10.51 (0.17-15.00)	3.45 (0.42-12.17)
Age, n	44.0 ± 18.7, 24	52.3 ± 16.8, 22	45.4 ± 17.0, 28	58.4 ± 14.4, 9
Female, n (%)	18 (75.0%)	21 (95.5%)	19 (67.9%)	4 (44.4%)
WHO-FC I/II/III/IV (n)	0/9/14/1 (24)	1/4/15/2 (22)	1/7/16/2 (26)	0/3/6/0 (9)
mAoP, mmHg, n	87.3 ± 11.7, 23	89.8 ± 19.3, 21	82.6 ± 11.3, 25	90.0 ± 15.9, 9
Heart rate, beats per minute, n	69.9 ± 13.8, 21	78.0 ± 11.8, 21	76.6 ± 11.5, 25	79.1 ± 14.7, 9
PAWP*, mmHg, n	8.0 (4.0-17.0), 24	8.0 (4.0-19.0), 22	9.5 (4.0-17.0), 24	8.0 (5.0-13.0), 9
SvO ₂ , %, n	64.2 ± 10.5, 22	61.8 ± 11.7, 21	66.4 ± 6.8, 24	68.2 ± 7.8, 9
sPAP, mmHg, n	78.5 ± 19.0, 24	59.5 ± 17.7, 22	78.5 ± 30.5, 26	60.0 ± 11.8, 9
dPAP, mmHg, n	33.6 ± 12.4, 24	24.5 ± 7.3, 22	36.5 ± 16.2, 26	25.1 ± 4.0, 9
mPAP, mmHg, n	49.5 ± 14.1, 24	37.7 ± 10.2, 22	52.5 ± 21.6, 26	37.8 ± 5.3, 9
PVR, wood unit, n	12.23 ± 5.86, 24	8.66 ± 4.45, 22	9.33 ± 5.81, 25	5.20 ± 2.07, 9
CI*, L/min/m ² , n	2.29 (1.53-4.12), 24	2.36 (1.71-4.33), 22	3.12 (1.70-7.55), 25	3.76 (2.50-8.37), 9
mRAP*, mmHg, n	6.0 (2.0-16.0), 24	4.5 (1.0-23.0), 22	6.0 (2.0-14.0), 25	6.0 (3.0-11.0), 9
6MWD*, m, n	402.3 (179.7-639.0), 22	244.3 (70.0-581.0), 22	369.0 (166.0-508.2), 18	376.6 (323.0-650.0), 8
Elevated BNP/NT-pro-BNP, n (%), n	9 (39.1%), 23	8 (38.1%), 21	6 (27.3%), 22	1 (11.1%), 9
Uric acid*, mg/dL, n	7.00 (3.59-10.78), 23	6.18 (2.96-8.98), 22	6.15 (2.71-8.42), 22	5.13 (1.73-9.67), 9

Values are number (%), mean ± SD, or * median (interquartile range).

Abbreviations as in Table 1.

Table 3.**Characteristics of the PH treatment**

	Pre-capillary PH (Overall)	IPA/HPAH	CTD-PAH	CHD-PAH	PoPH	PH-LD	CTEPH
Subjects, n	144	24	22	28	9	20	37
PH-targeted drug use, n (%)	118 (81.9%)	24 (100.0%)	20 (90.9%)	23 (82.1%)	4 (44.4%)	14 (70.0%)	30 (81.1%)
Prostacyclin and its analogues							
Beraprost, n (%)	34 (23.6%)	4 (16.7%)	5 (22.7%)	7 (25.0%)	1 (11.1%)	2 (10.0%)	15 (40.5%)
Iloprost, n (%)	6 (4.1%)	4 (16.7%)	0	0	1 (11.1%)	1 (5.0%)	0
Epoprostenol, n (%)	7 (4.9%)	5 (20.8%)	0	1 (3.6%)	0	0	1 (2.7%)
Treprostinil, n (%)	4 (2.8%)	1 (4.2%)	0	2 (7.1%)	1 (11.1%)	0	0
Selective prostacyclin receptor agonist							
Selexipag, n (%)	29 (13.9%)	15 (62.5%)	6 (27.3%)	3 (10.7%)	0	1 (5.0%)	4 (10.8%)
Phosphodiesterase 5 inhibitors							
Sildenafil, n (%)	15 (10.4%)	2 (8.3%)	6 (27.3%)	3 (10.7%)	1 (11.1%)	2 (10.0%)	0
Tadalafil, n (%)	53 (36.8%)	19 (79.2%)	8 (36.4%)	12 (42.9%)	1 (11.1%)	8 (40.0%)	5 (13.5%)
Soluble guanylate cyclase stimulator							
Riociguat, n (%)	24 (16.7%)	2 (8.3%)	3 (13.6%)	1 (3.6%)	1 (11.1%)	2 (10.0%)	15 (40.5%)
Endothelin receptor antagonists							
Bosentan, n (%)	36 (25%)	6 (25.0%)	6 (27.3%)	9 (32.1%)	0	3 (15.0%)	12 (32.4%)
Ambrisentan, n (%)	6 (4.2%)	3 (12.5%)	1 (4.5%)	1 (3.6%)	0	0	1 (2.7%)
Macitentan, n (%)	39 (27.1%)	13 (54.2%)	9 (40.9%)	8 (28.5%)	1 (11.1%)	4 (20.0%)	3 (8.1%)
Combination therapy, n (%)	85 (59.0%)	24 (100.0%)	16 (72.7%)	17 (60.7%)	2 (22.2%)	7 (35.0%)	18 (48.6%)
Triple combination therapy, n (%)	43 (30.0%)	19 (79.2%)	8 (36.4%)	8 (28.5%)	0	2 (10.0%)	6 (16.2%)
Up front combination therapy, n (%)	12 (8.3%)	5 (20.8%)	1 (4.5%)	3 (10.7%)	2 (22.2%)	1 (5.0%)	0
Home oxygen therapy, n (%)	64 (44.4%)	16 (66.7%)	12 (54.5%)	8 (28.5%)	1 (11.1%)	12 (60.0%)	14 (37.8%)

Abbreviations as in Table 1.

Table 4.**Multivariate regression analyses using a Cox’s proportional hazards model for each survival**

Group	Variables	β	SE	Hazard Ratio (95%CI)	P-value
Pre-capillary PH	6MWD	-0.007	0.003	0.993 (0.988-0.998)	0.006

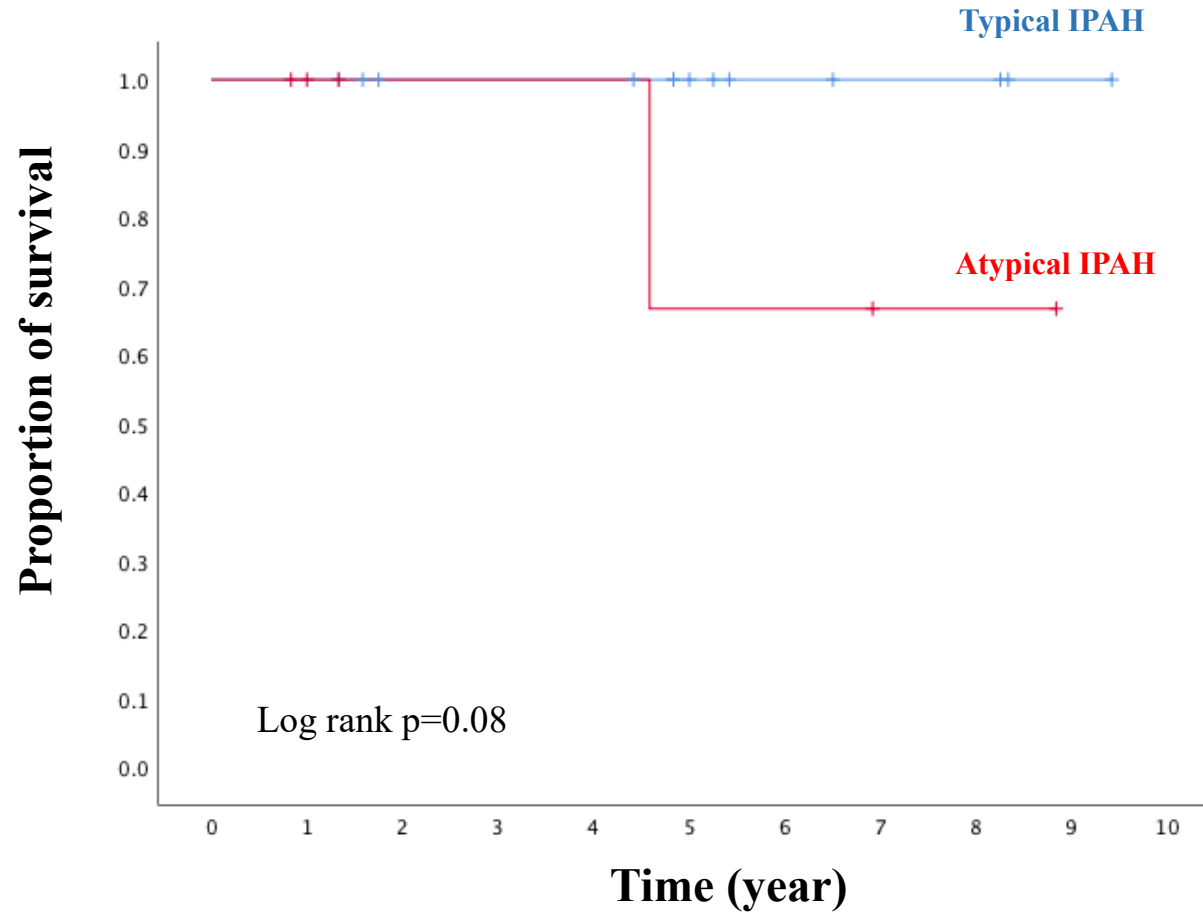
Univariate regression analyses using a Cox’s proportional hazards model for each survival

Group	Variables	β	SE	Hazard Ratio (95%CI)	P-value
IPAH/HPAH	Mean PAP	0.277	0.141	1.319 (1.000-1.740)	0.050
CTD-PAH	CI	3.587	1.827	36.111 (1.005-1297.428)	0.050
PH-CLD	Diastolic PAP	0.677	0.337	1.968 (1.016-3.813)	0.045

Abbreviations as in Table 1.

Supplementary fig. 1.

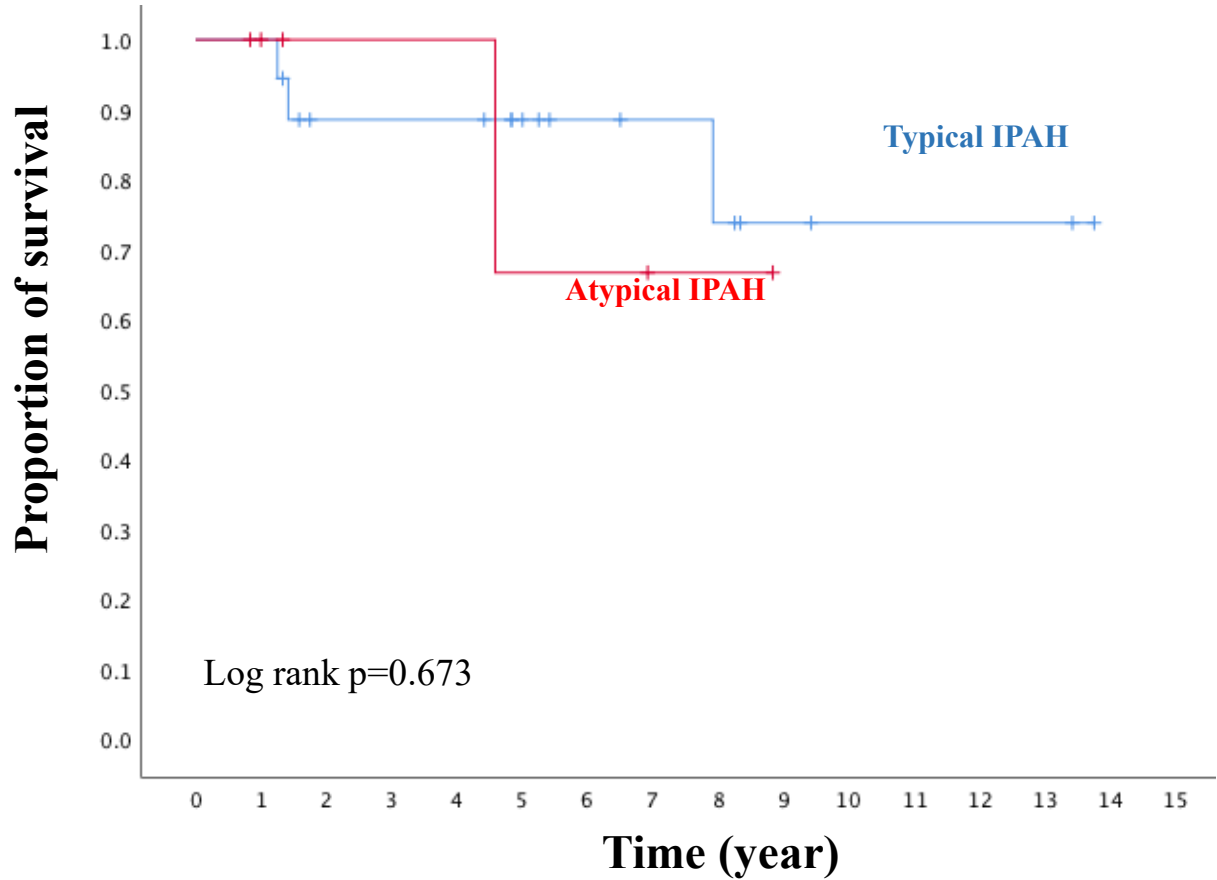
A. Survival for IPAH diagnosed after 2008



Patients at risk

Typical	13	13	10	10	10	6	4	3	3	1
Atypical	6	4	3	3	3	2	2	1	1	

B. Survival for IPAH during all period

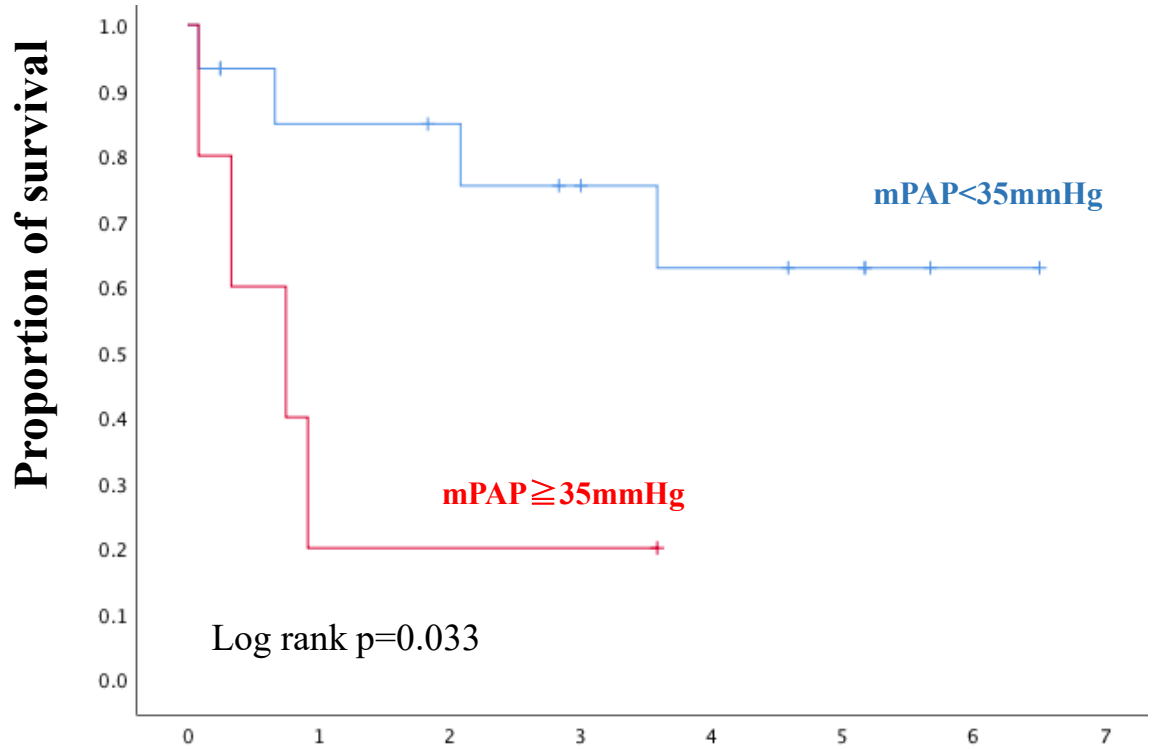


Patients at risk

Typical	18	18	13	13	13	9	7	6	5	3	2	2	2	2
Atypical	6	4	3	3	3	2	2	1	1					

Supplementary fig. 3.

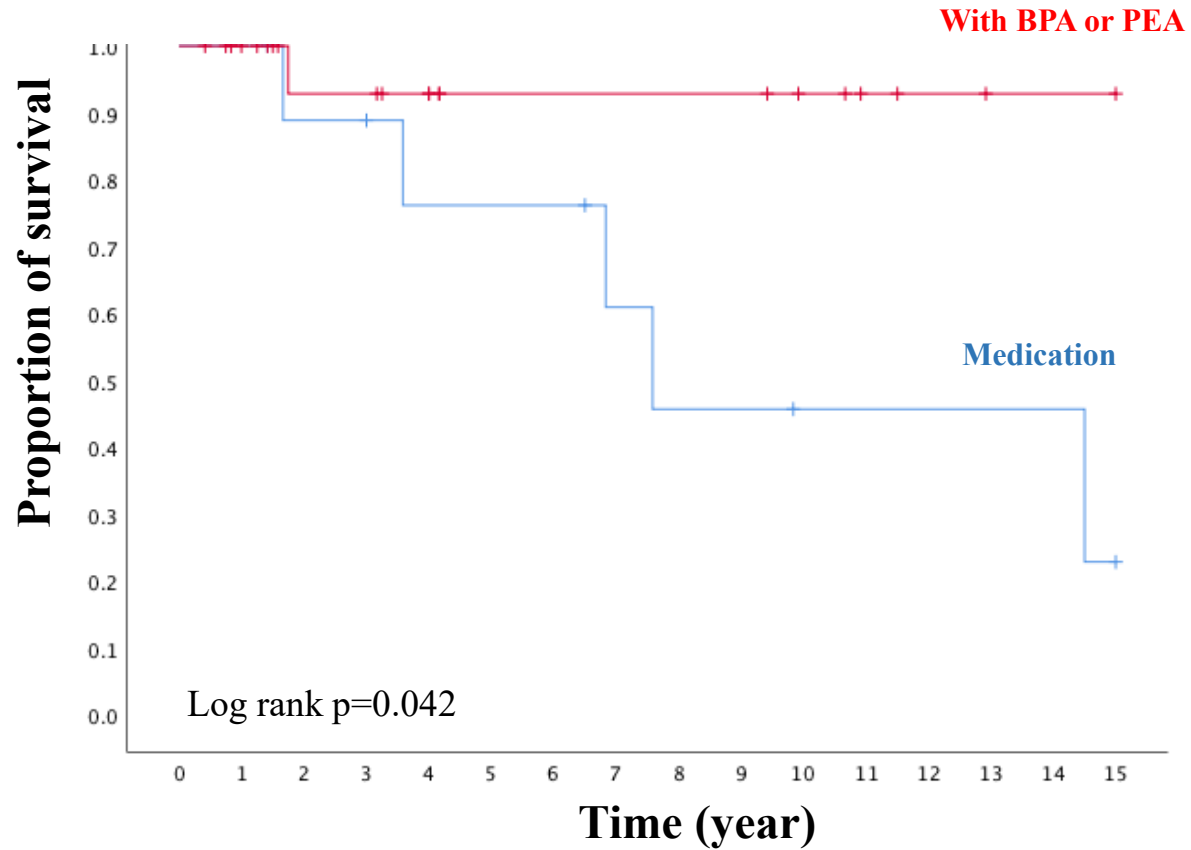
Survival for PH-LD



	Patients at risk						
	0	1	2	3	4	5	6
mPAP < 35mmHg	15	10	9	6	5	4	1
mPAP ≥ 35mmHg	5	1	1	1			

Supplementary fig. 4.

Survival for CTEPH

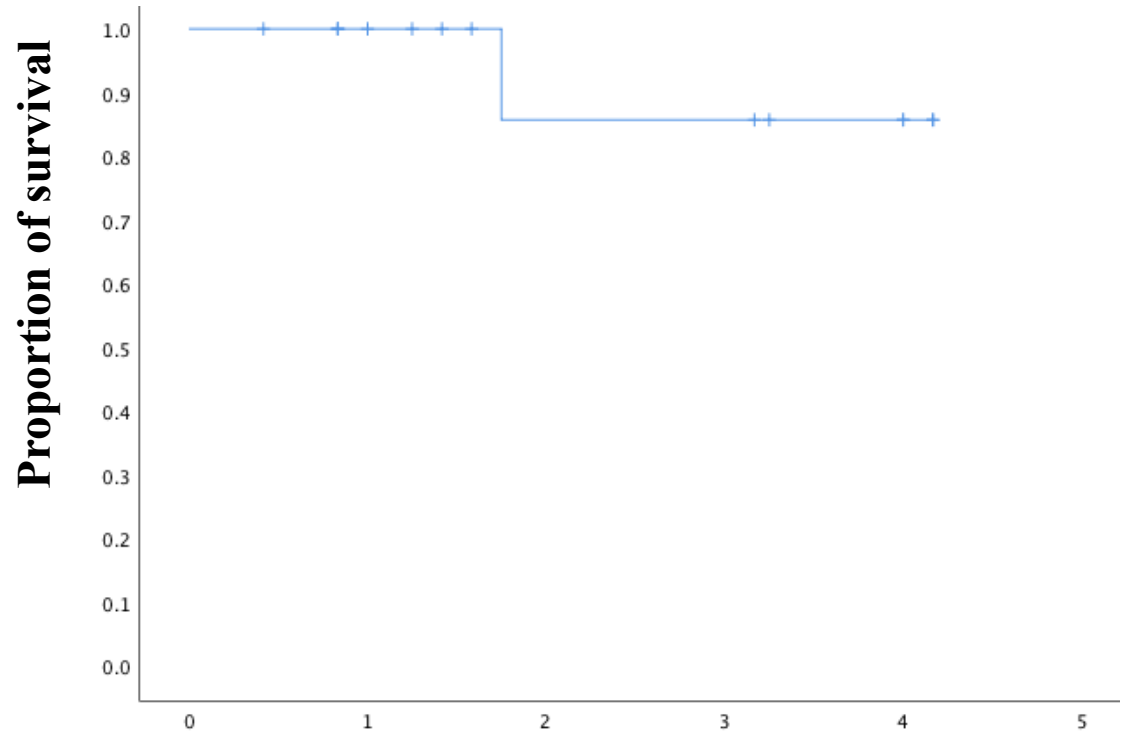


Patients at risk

BPA or PEA	24	18	13	13	10	7	7	7	7	7	5	3	2	1	1	0
Medication	10	10	8	7	6	6	6	4	3	3	2	2	2	2	2	0

Supplementary fig. 5.

Survival for CTEPH treated by BPA after 2013



Patients at risk

	0	1	2	3	4
BPA	15	14	5	5	3