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3 Folic acid supplementation of aspirin therapy further improves vascular endothelial
4 function among patients with type 2 diabetes: a short-term crossover study

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18 Short running title: Folic acid and aspirin therapy

19 **Abstract**

20

21 **Aim** To examine the effects of supplementing aspirin therapy with folic acid on vascular endothelial
22 function among patients with type 2 diabetes.

23 **Methods** This was a randomized open label crossover study. Fifteen patients with type 2 diabetes
24 (group A) were first treated with 100 mg/day aspirin plus 20 mg/day folic acid for 1 week and then
25 received aspirin alone during the second week. Conversely, 17 patients (group B) received
26 aspirin alone during the first week and received the combination therapy during the second week.
27 Fourteen patients (group C) received folic acid alone for one week. Flow mediated dilatation (FMD)
28 was examined at baseline and after each treatment.

29 **Results** In group A, the patients' FMD levels increased from 3.7 ± 2.0 % to 7.2 ± 3.1 % during the
30 first week and decreased to 5.9 ± 2.8 % after the second week. In group B, FMD levels increased
31 from 5.1 ± 2.2 % to 6.8 ± 2.9 % during the first week and further increased to 9.0 ± 3.6 % after the
32 second week. In group C, FMD levels increased from 5.2 ± 3.3 % to 6.9 ± 3.8 %. Concomitantly,
33 serum homocysteine (Hcy) levels decreased during folic acid administration in group C, but not in
34 groups A and B. Addition of folic acid did not significantly affect levels of interleukin-6 or high
35 sensitivity C-reactive protein.

36 **Conclusion** Supplementation of aspirin therapy with folic acid resulted in further improvement in
37 endothelial function. The mechanism of the beneficial effect remains to be
38 elucidated, although it is unlikely to be mediated by suppression of Hcy production or attenuation of
39 chronic inflammation.

40

41 **Key words:** Endothelial function, Flow-mediated dilatation (FMD), Aspirin, Folic acid,
42 Homocysteine, Type 2 diabetes mellitus

43 **Introduction**

44

45 Type 2 diabetes is a major risk factor for cardiovascular diseases (CVD). Putative mechanisms of
46 these vascular complications include inflammation of the vascular wall, oxidative stress, and the
47 exacerbation of platelet aggregation because of hyperglycemia or other metabolic disorders of the
48 diabetic state. Vascular endothelial dysfunction has been recognized as an early stage of
49 arteriosclerosis because the endothelium is crucially important in maintaining both vascular tone and
50 the normal structure of blood vessels [1–3]. One endothelium-derived vasoactive mediator of major
51 importance is nitric oxide (NO), which is formed from the amino acid precursor L-arginine and
52 endothelial nitric oxide synthase (eNOS) [4]. A deficiency in NO production may be involved in
53 endothelial dysfunction [5].

54 Platelet aggregation is enhanced in the diabetic state [6], and administration of low-dose aspirin has
55 been recommended to prevent thrombosis in diabetic patients [7, 8]. Endothelial dysfunction can be
56 improved by low-dose aspirin therapy, suggesting that platelets regulate vascular NO bioactivity [9].
57 Aspirin may also exert its beneficial effect on endothelial function through its anti-inflammatory
58 properties [10, 11].

59 Folic acid, a water soluble vitamin, increases NO production by stabilizing tetrahydrobiopterin
60 (BH₄), a coenzyme for eNOS [12, 13]. The active form of folic acid, 5-methyltetrahydrofolate, has
61 direct effects on the enzymatic activity of NO synthase both in recombinant eNOS and in cultured
62 endothelial cells. Furthermore, folic acid reduces serum levels of homocysteine (Hcy), which is a
63 potent excitatory neurotransmitter that binds to the Nmethyl-D-aspartate receptor and leads to
64 oxidative stress, cytoplasmic calcium influx, cellular apoptosis, and endothelial dysfunction [14].
65 Although low-dose aspirin therapy is recommended for individuals with cardiovascular risk factors,
66 there is concern that administration of aspirin may reduce serum folic acid concentration by
67 acceleration of urinary folic acid excretion [15]. Furthermore, aspirin is rapidly and irreversibly
68 converted into salicylic acid, which inhibits production of tetrahydrofolate [16], an important methyl
69 donor for remethylation of Hcy into methionine [17]. Thus, folic acid supplementation in aspirin
70 therapy is expected to have an additive or a synergistic effect for prevention of CVD. In this study,
71 we investigated the effects of the combination therapy of aspirin and folic acid on endothelial
72 function and other biological variables among patients with type 2 diabetes.

73

74 **Subjects and methods**

75

76 *Subjects*

77

78 We enrolled 46 patients with type 2 diabetes who had been admitted to Kurume University Hospital

79 for glycemic control (Table 1). Fifteen patients had been treated with sulfonylureas, 11 with
80 metformin, 4 with pioglitazone, 14 with DPP-4 inhibitors, and 4 with insulin. The exclusion criteria
81 included HbA1c[12.0 %, use of anti-platelet drugs, pregnancy and lactation, chronic inflammatory
82 diseases, a recent acute infection, serum creatinine level [1 mg/dl, liver dysfunction (AST[40 IU/L or
83 ALT[40 IU/L), known malignant diseases, current consumption of tobacco products, aspirin allergy,
84 known history of CVD events, proliferative diabetic retinopathy, macro-albuminuria defined as a
85 urinary albumin level [300 mg per day, and painful diabetic polyneuropathy.

86 Appropriate dietary management was continued throughout the study period. Subjects were required
87 to avoid any vigorous exercise. Written informed consent was obtained from all subjects. The study
88 design was approved by the ethics committee of Kurume University School of Medicine and was
89 conducted according to the principles outlined in the Declaration of Helsinki.

90

91 *Study design and materials*

92

93 After a period of stabilization of such metabolic parameters such as blood glucose, blood pressure,
94 and serum lipids for 7 ± 2 days (mean \pm SD), the subjects were randomly assigned to group A, group
95 B, or group C (Fig. 1). The subjects of group A were given 20 mg folic acid (Foliamin[®], Nihon
96 Pharmaceutical, Tokyo, Japan) plus 100 mg aspirin (Bayaspirin[®], Bayer, Leverkusen, Germany)
97 once daily during the first week and then aspirin alone during the second week. The subjects of
98 group B were administered aspirin during the first week and received the combination therapy
99 during the second week. The subjects of group C were given 20 mg folic acid for 7 days.
100 Flow-mediated dilatation (FMD), body weight, and blood pressure were measured after an overnight
101 fast, and blood was collected at baseline and at the end of each week.

102

103 *Assessment of endothelial function*

104

105 Brachial artery FMD, a surrogate marker for vascular endothelial function, was measured by use of a
106 vascular ultrasound system equipped with an edge-tracking system and a pulsed Doppler flow
107 velocimeter (Unex, Nagoya, Japan) [18], at the supine position, before breakfast in a quiet room, in
108 accordance with international guidelines [19]. In brief, after measurement of the diameter of the
109 brachial artery at rest, the cuff was inflated to 200 mmHg for 5 min. After prompt cuff deflation, the
110 diameter of the artery was monitored continuously. FMD levels were calculated as follows:

111

112
$$\text{FMD (\%)} = (\text{maximum diameter} - \text{diameter at rest}) \times 100 / \text{diameter at rest}.$$

113

114 *Blood sampling and measurements*

115
116 Blood samples were obtained from the antecubital vein. Glucose, HbA1c, triglycerides (TG),
117 low-density lipoprotein cholesterol (LDL-C), and high-density lipoprotein cholesterol (HDL-C) were
118 measured in accordance with standard procedures. Folic acid and interleukin-6 (IL-6) levels were
119 determined by chemiluminescence enzyme immunoassay. Hcy levels were measured by HPLC
120 (Hitachi, Tokyo, Japan).

121

122 Statistical analysis

123

124 Statistical analysis was performed by use of JMP software version 10 (SAS Institute, Cary, NC,
125 USA). To compare baseline values for each group, Student's t-test was used for continuous variables
126 after confirming the normality of the data distribution by use of the Shapiro–Wilk normality test.
127 Categorical variables were analyzed by use of the chisquared test. Results for more than two groups
128 were compared by one-way, repeated-measures analysis of variance (ANOVA). The effects of drugs
129 on FMD values were compared by using the ratio of post-treatment FMD to baseline FMD. The
130 ratios for FMD, serum levels of folic acid, hsCRP, and IL-6 were logarithmically transformed to
131 achieve a normal distribution before applying the t-test. All data are presented as mean \pm SD, and a
132 p-value <0.05 was considered to be statistically significant. When data in three groups were
133 compared, a significant p-value was <0.017 (Bonferroni correction).

134

135 **Results**

136

137 In group A, patients' FMD levels increased from 3.7 ± 2.0 % to 7.2 ± 3.1 % ($p = 0.001$) during the
138 first week and decreased to 5.9 ± 2.8 % ($p = 0.02$) after the second week (Fig. 2a). In group B, FMD
139 levels increased from 5.1 ± 2.2 % to 6.8 ± 2.9 % ($p = 0.001$) during the first week and further
140 increased to 9.0 ± 3.6 % ($p = 0.004$) after the second week (Fig. 2b). In group C, FMD levels
141 increased from 5.2 ± 3.3 % to 6.9 ± 3.8 % ($p = 0.016$) (Fig. 2c). When the changes in FMD levels
142 during the first week were expressed as the ratio of the post-treatment FMD to the baseline FMD, the
143 aspirin monotherapy, the folic acid monotherapy, and the combination therapy resulted in $1.4 \pm$
144 0.4 -fold, 1.4 ± 0.4 -fold, and 2.9 ± 3.1 -fold increases, respectively ($F = 5.63$, $p = 0.0068$). Post-hoc
145 analysis showed that the combination therapy resulted in greater improvement in FMD values than
146 aspirin monotherapy ($p = 0.013$) and folic acid monotherapy ($p = 0.026$), although the latter was not
147 statistically significant after the Bonferroni correction.

148 For patients in group A, elevation of serum folic acid concentration was observed after the
149 combination therapy and then a decline during the aspirin monotherapy. As expected, serum folic
150 acid concentration for group B patients did not change during aspirin monotherapy but increased as a

151 result of the combination therapy. For group C patients elevation of serum folic acid concentration
152 was observed after the folic acid monotherapy (Table 2).

153 Among group C patients, serum Hcy concentration was reduced after the folic acid monotherapy.
154 However, the difference was not significant by ANOVA in serum Hcy levels among baseline, aspirin
155 monotherapy, and the combination of aspirin and folic acid in group A or group B (Table 2).
156 Similarly, there was no significant difference in hsCRP or IL-6 among baseline, aspirin monotherapy,
157 and combination therapy.

158

159 **Discussion**

160

161 Endothelial function of patients with type 2 diabetes is seriously degraded compared with that of
162 healthy subjects [20, 21]. Aspirin inhibits platelet aggregation and improves endothelial function,
163 and the effectiveness of low-dose aspirin in the prevention of CVD has been established. Folic acid
164 supplementation reduces Hcy concentration, resulting in improvement of vascular NO
165 bioavailability and overall endothelial function [22]. However, no investigation has been performed
166 to assess the effects of a combination of aspirin and folic acid on vascular endothelial function. The
167 rationale for combining folic acid and aspirin is that:

168

- 169 1. aspirin induces a significant but reversible decrease in serum folic acid concentrations and
170 a small increase in its urinary excretion [15]; and
- 171 2. aspirin exerts its anti-inflammatory effects after its conversion into salicylic acid, which
172 has greater antifolic acid activity than the parent compound [16].

173

174 Thus, folic acid supplementation in aspirin therapy may be a clinically useful strategy to prevent
175 the impaired balance of this vitamin.

176 We demonstrated in this study that 1-week combination therapy with low-dose aspirin and folic
177 acid improved FMD of diabetic subjects more efficiently than either drug alone. FMD increased in
178 the aspirin monotherapy group after addition of folic acid, but decreased in the combination therapy
179 group after discontinuation of folic acid. The increases of FMD may be partially attributable to
180 improvement of glycemic control. To minimize the effect of glycemic control improvement during
181 the study period, this study was performed in a cross-over design. Reduction of FMD during the
182 second week in group A clearly showed the beneficial effect of folic acid supplementation of aspirin
183 monotherapy. In this study, folic acid levels were not reduced by aspirin treatment. The absence of
184 effects on serum folic acid levels may be because aspirin was given in a small dose for a short period.
185 Plasma levels of Hcy were significantly reduced by administration of folic acid in group C. It is
186 widely accepted that increased plasma Hcy is associated with increased cardiovascular risk.

187 However, reduction of plasma Hcy may not be the mechanism by which folic acid supplementation
188 of aspirin therapy further improved endothelial function, because no significant reduction was
189 observed in groups A or B. Another possible mechanism is attenuation of inflammation, because
190 chronic low-grade inflammation may be associated with endothelial dysfunction in diabetes.
191 However, serum levels of hsCRP or IL-6 were not significantly different among baseline, aspirin
192 monotherapy, and combination therapy, and folic acid monotherapy did not reduce hsCRP or IL-6
193 levels.

194 This study has several limitations. It was performed with a relatively small number of patients.
195 However, a significant improvement of FMD was demonstrated after the folic acid supplementation.
196 We calculated the sample number adequate for distinguishing the effect of folic acid on FMD with
197 the standard deviations in this cross-over trial by using repeated-measures ANOVA with a
198 Bonferroni correction [23]; a sample size of 32 was verified to be adequate to distinguish differences
199 between this effect. Although the 1-week add-on treatment of folic acid proved beneficial for
200 endothelial function, effects of longer-term administration are not guaranteed. Finally, patients with
201 advanced diabetic complications were excluded because of concern about the effect of
202 anticoagulation therapy, particularly retinal bleeding. These results are, therefore, limited to cases
203 without advanced diabetic complications.

204 In conclusion, folic acid supplementation of low-dose aspirin therapy resulted in further
205 improvement of endothelial function among patients with type 2 diabetes. On the basis of these
206 results, prospective studies with larger sample sizes for a longer period of time are needed to confirm
207 the clinical relevance of folic acid supplementation of aspirin therapy for the prevention of CVD.

208

209 **Conflicts of interest** No competing financial interests exist.

210

211 **Human rights statement and informed consent** All procedures followed were in accordance
212 with the ethical standards of the responsible committee on human experimentation (institutional and
213 national) and with the Helsinki Declaration of 1964 and later revision. Informed consent or a
214 substitute for it was obtained from all patients included in the study. If doubt exists whether the
215 research was conducted in accordance with the Helsinki Declaration, the authors must explain the
216 rationale for their approach, and demonstrate that the institutional review body explicitly approved
217 the doubtful aspects of the study. Identifying information of patients of human subjects, including
218 names, initials, addresses, admission dates, hospital numbers, or any other data that might identify
219 patients should not be published in written descriptions, photographs, or pedigrees unless the
220 information is essential for scientific purposes and the patient (or parent guardian) gives written
221 informed consent for publication. If any identifying information about patients is included in the
222 article, the following sentence should also be included: Additional informed consent was obtained

223 from all patients for which identifying information is included in this article.

224

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289

290 **Figure legends**

291

292 Fig. 1 The study protocol. Arrows indicate the times of FMD measurements and blood sampling.

293 Fig. 2 Changes in FMD during the 2-week study period for patients of group A (a) and group B (b),
294 and changes in FMD during the 1-week study period for patients of group C (c). Data are presented

295 as mean \pm SD.

Table 1. Clinical characteristics at baseline

	All subjects	group A	group B	group C	P-value
Number of patients	46	15	17	14	—
Gender (male/female)	20/26	7/8	5/12	8/6	0.29
Habitual drinking (+/-)	15/31	5/10	3/14	7/7	0.17
Age (years)	57±14	56±14	55±15	60±13	0.63
BMI (kg/m ²)	26.5±6.0	28.2±8.1	26.6±5.3	24.5±3.8	0.27
Duration of diabetes (years)	8.9±8.2	7.6±7.7	9.9±2.4	7.6±6.2	0.41
Systolic blood pressure (mmHg)	125±13	129±13	124±11	121±16	0.31
Diastolic blood pressure (mmHg)	75±11	76±10	76±12	74±13	0.91
RAS blockade (ACEI or ARB) (+/-)	6/40	2/13	1/16	3/11	0.46
Statin (+/-)	13/33	3/12	4/13	6/8	0.35
Fasting plasma glucose (mg/dL)	153±46	155±65	159±38	145±27	0.69
HbA1c (%)	9.3±1.6	9.1±1.5	9.3±1.6	9.5±1.8	0.81
LDL-C (mg/dL)	128±37	136±34	130±40	116±36	0.37
HDL-C (mg/dL)	52±13	54±20	50±8	52±10	0.68
TG (mg/dL)	146±61	154±77	141±54	142±54	0.81
Creatinine (mg/dL)	0.68±0.26	0.71±0.41	0.66±0.15	0.68±0.14	0.82
Folic acid (ng/mL)	8.1±3.5	7.6±4.0	7.8±2.8	9.1±3.7	0.49
Homocysteine (μmol/L)	9.1±3.1	8.7±3.3	8.8±1.7	9.8±4.2	0.55
hsCRP (mg/L)	1.63±2.24	1.86±1.82	1.51±1.97	1.53±3.0	0.9
IL-6 (pg/mL)	3.3±3.8	2.7±1.8	4.3±5.7	2.7±2.0	0.39
FMD (%)	4.7±2.6	3.8±2.1	5.1±2.2	5.2±3.4	0.25

RAS: Renin-angiotensin system ACEI: angiotensin converting enzyme inhibitor, ARB: angiotensin receptor blocker,

hsCRP: high-sensitivity C-reactive protein

Data are presented as means±S.D. or numbers of patients.

Most parameters were measured at hospitalization.

One way ANOVA or Chi-square test was used for the comparison among the groups..

Table 2. Changes of clinical parameters

	group A (n=15)			group B (n=17)			group C (n=14)	
	<i>Baseline</i>	<i>Aspirin plus folic acid</i>	<i>Aspirin</i>	<i>Baseline</i>	<i>Aspirin</i>	<i>Aspirin plus folic acid</i>	<i>Baseline</i>	<i>Folic acid</i>
Folic acid (ng/mL)	7.6±3.8	118.8±101.0 ** #	23.6±5.4 **	7.8±2.7	13.6±18.4	204±162.9 ** #	8.7±4.1	200.0±166.3 **
Hcy (µmol/l)	8.7±3.2	6.7±1.6	6.9±1.7	8.8±1.6	8.9±2.0	7.9±1.7	9.9±4.2	8.6±2.9 *
hsCRP (mg/L)	1.86±1.82	1.16±1.3	0.89±0.91	1.51±1.97	0.71±0.52	0.55±0.44	1.53±3.0	1.50±2.36
IL-6 (pg/mL)	2.7±1.7	2.2±1.2	2.0±0.9	4.3±5.5	3.5±4.5	2.8±3.3	2.7±2.0	2.6±2.4

Descriptions for each abbreviation are given in text.

Data are presented as means±SD.

No significant difference was obtained in Hcy, hsCRP, or IL-6 among baseline, aspirin, and aspirin plus folic acid by ANOVA.

*P<0.05, **P<0.001 vs. values at baseline. #P<0.001 vs. values after aspirin mono-therapy.

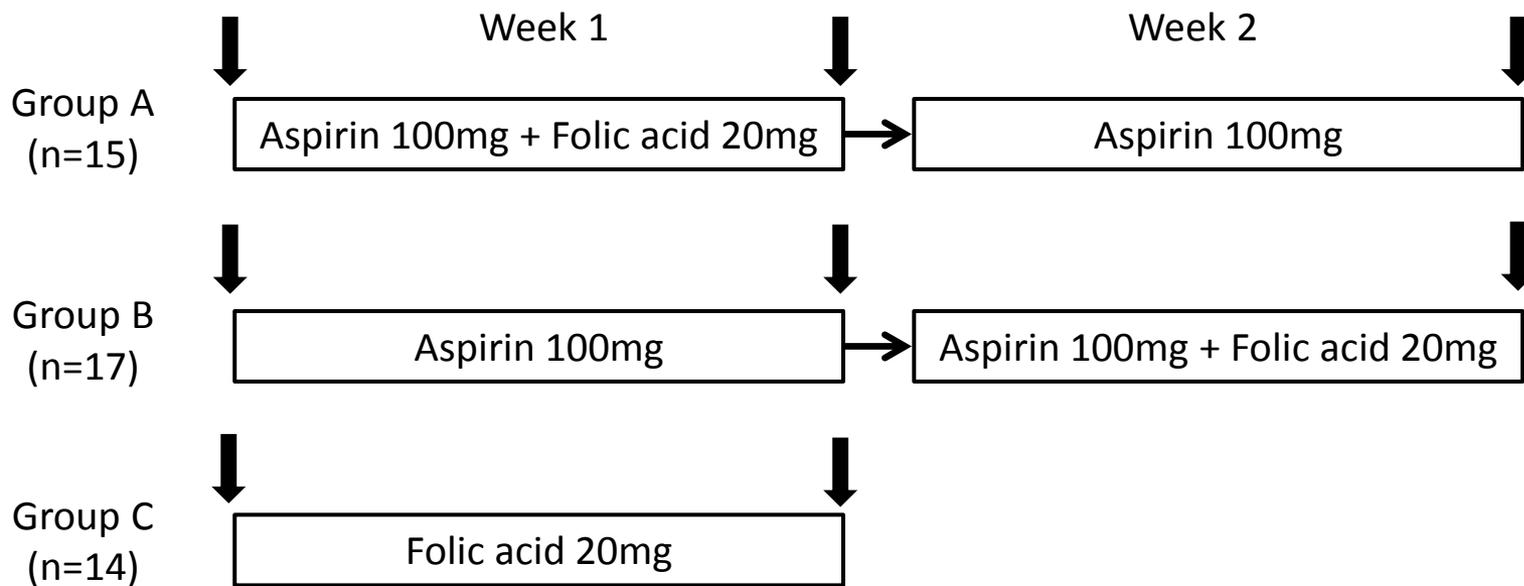


Figure 1. Sato, et al.

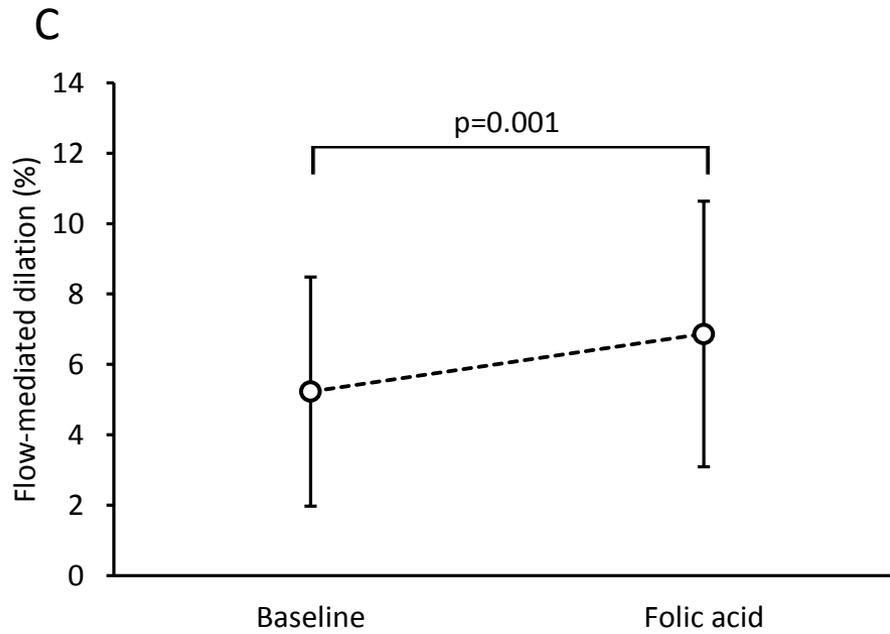
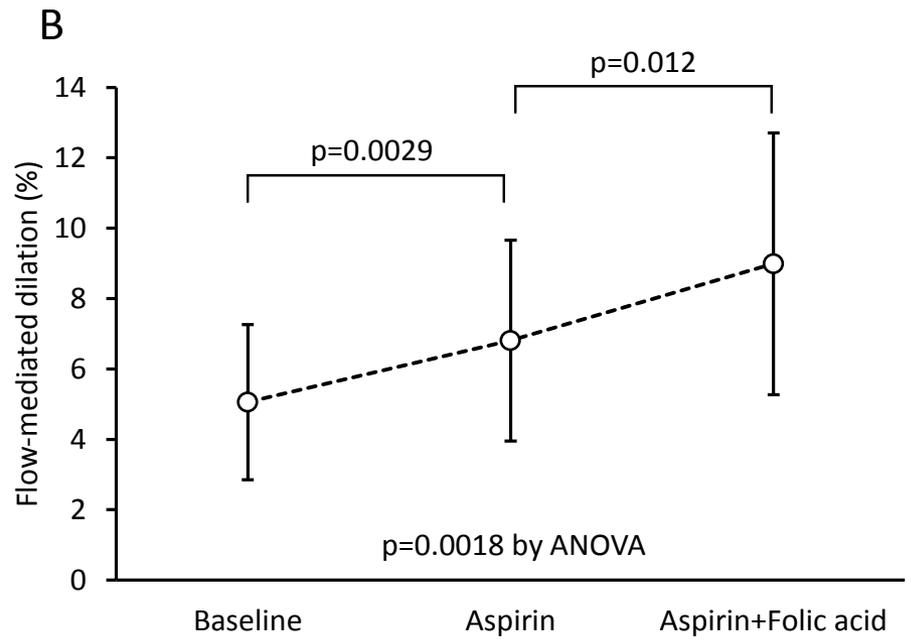
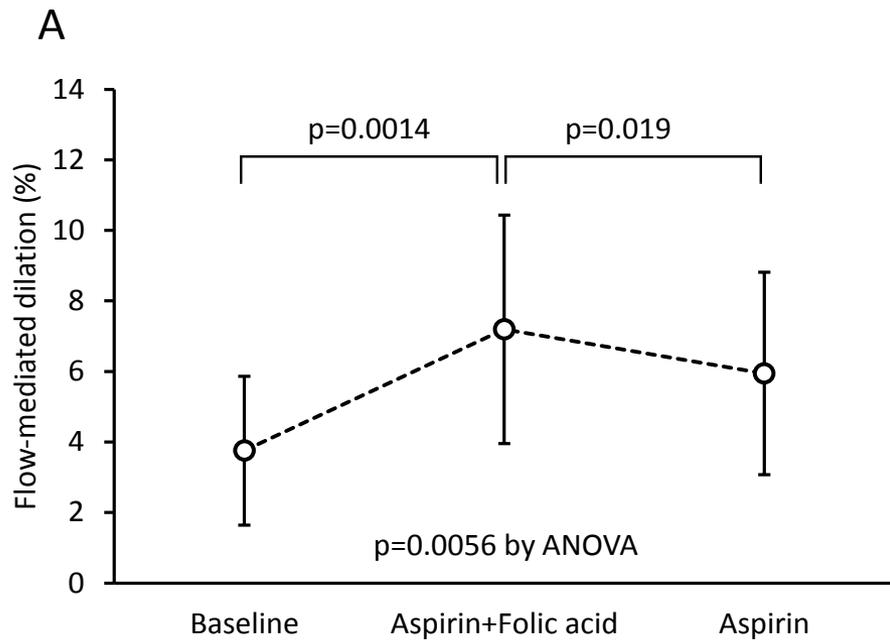


Figure 2. Sato, et al.